

An Intrinsically Typed Compiler for Algebraic Effect Handlers

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Goal

Implement type-preserving compilers for languages with **first-class continuations**

Previous work^[Morrisett+'99, Chlipala'07, Pickard+'21] considers

Functions

Conditionals & loops

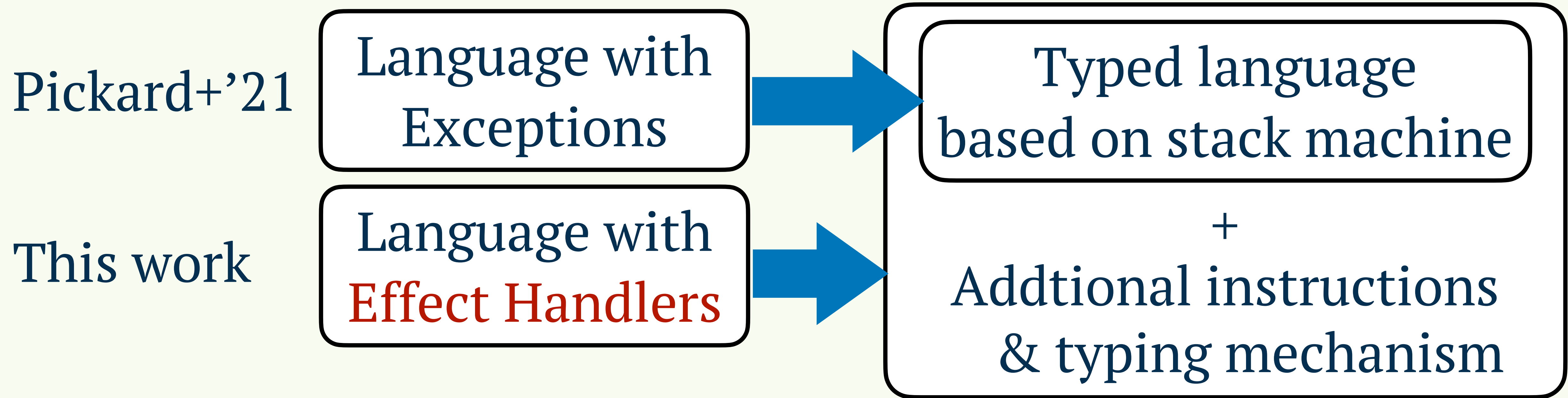
Exceptions

This work considers

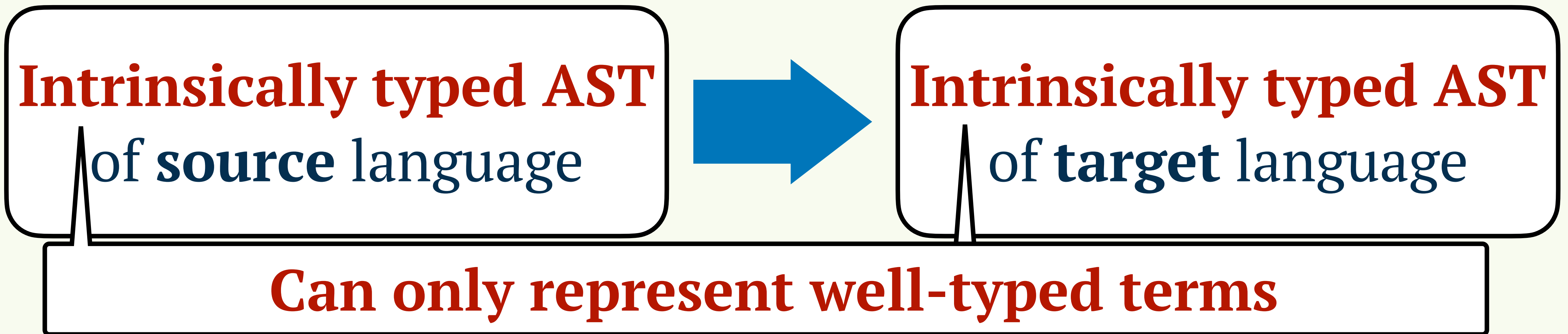
Effect handlers

Approach

Implement an intrinsically typed compiler using Agda



Background : Intrinsically Typed Compiler



Type checked definition = Proof of type preservation

Background : Intrinsically Typed AST

Simple AST

```
data Exp : Set where
  num    :  $\mathbb{N}$   $\rightarrow$  Exp
  bool   :  $\mathbb{B}$   $\rightarrow$  Exp
  add    : Exp  $\rightarrow$  Exp  $\rightarrow$  Exp
```

Agda

```
add (num 1) (bool true) : Exp
```

Background : Intrinsically Typed AST

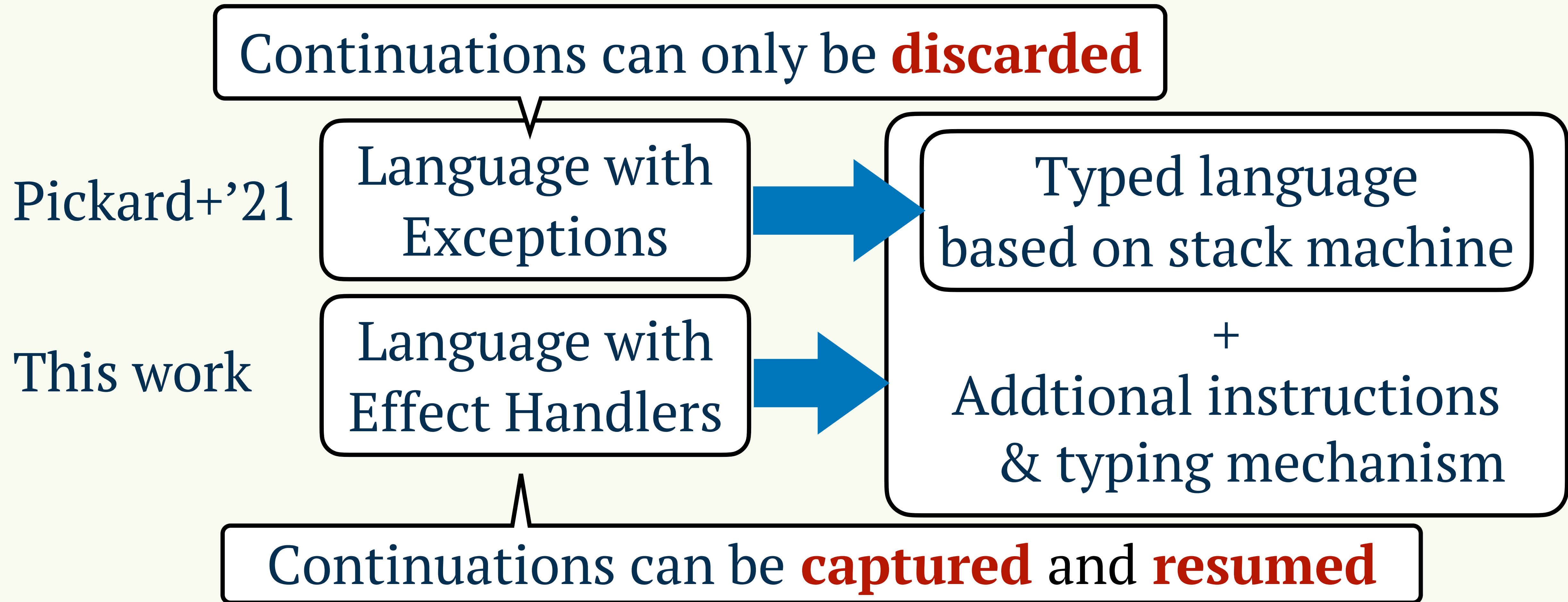
Intrinsically-typed AST

```
data Exp : Ty → Set where
  num    : ℕ → Exp Nat
  bool   : ℬ → Exp Bool
  add    : Exp Nat → Exp Nat → Exp Nat
```

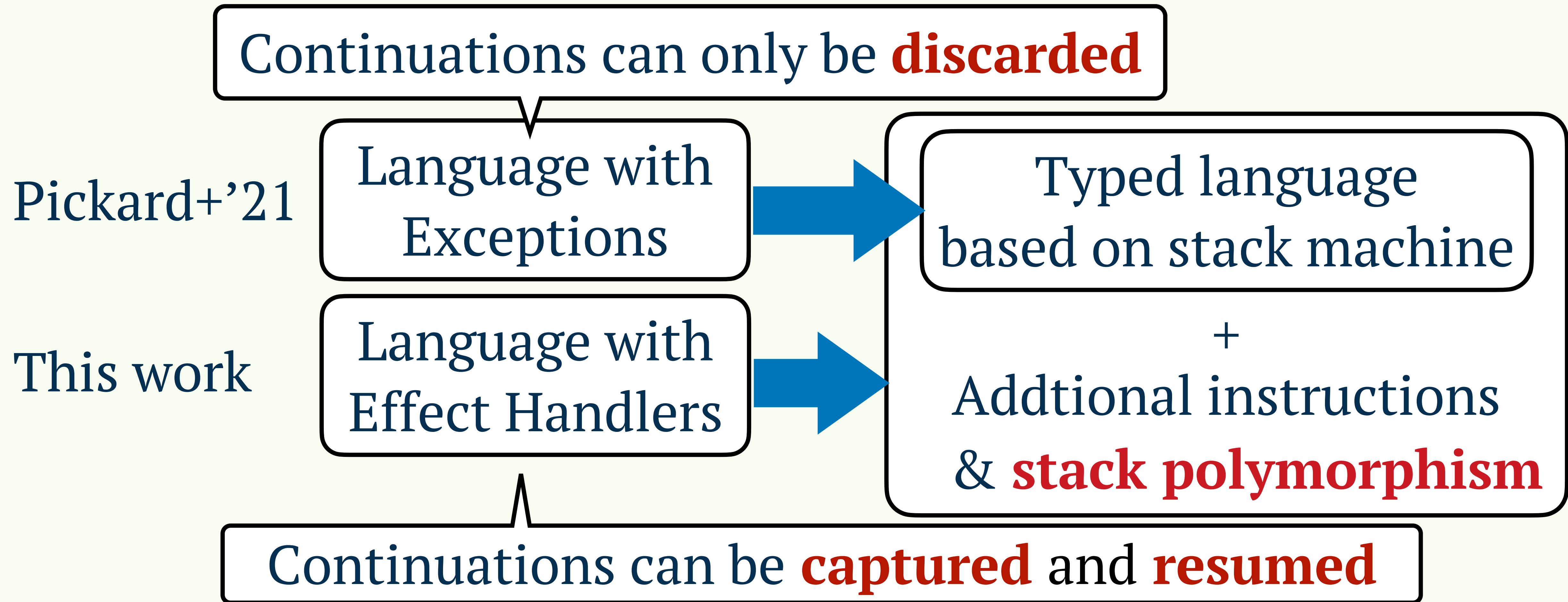
Agda

```
add (num 1) (bool true) : Exp Nat
```

Challenge : Typing Continuations



Solution : Incorporate Stack Polymorphism^[Morrisett+'02]



Effect Handlers in Source Language

```
handle 1 + do op ()  
with {  
  ...  
  op _ k -> k 0  
}
```

Operations cause effects

Handlers determine
behavior of operations

Handlers can use **continuaions**

Effect Handlers in Source Language

Execution example

```
handle 1 + do op ()  
with {  
  ...  
  op _ k -> k 0  
}
```

Captured continuation
handle 1 + ?
with ...

Resuming handled computation with \emptyset

Effect Handlers in Source Language

Execution example

```
handle 1 + 0
with {
  x -> x
  op _ k -> k 0
}
```

=> 1

Intrinsically Typed AST of Source Language

Data types representing only well-typed terms

```
data Val ( $\Gamma$  : Ctx) : VTy  $\rightarrow$  Set
```

$\Gamma \vdash V : A$

```
data Cmp ( $\Gamma$  : Ctx) : CTy  $\rightarrow$  Set
```

$\Gamma \vdash M : C$

```
data Hd1 ( $\Gamma$  : Ctx) : HTy  $\rightarrow$  Set
```

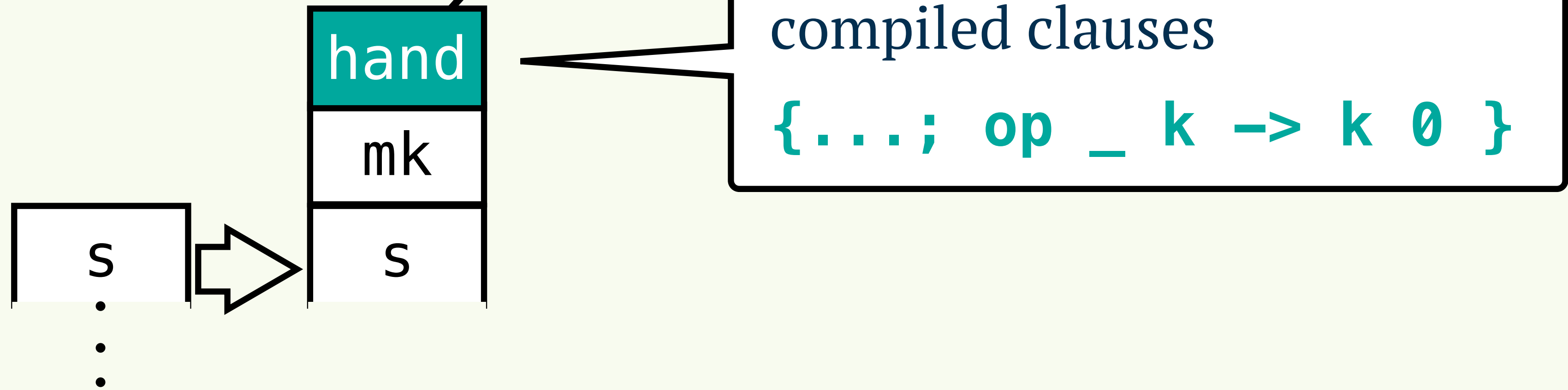
$\Gamma \vdash H : C \Rightarrow D$

Effect Handlers in Target Language

Source term

```
handle 1 + do op ()  
with { ...; op _ k -> k 0 }
```

Target stack

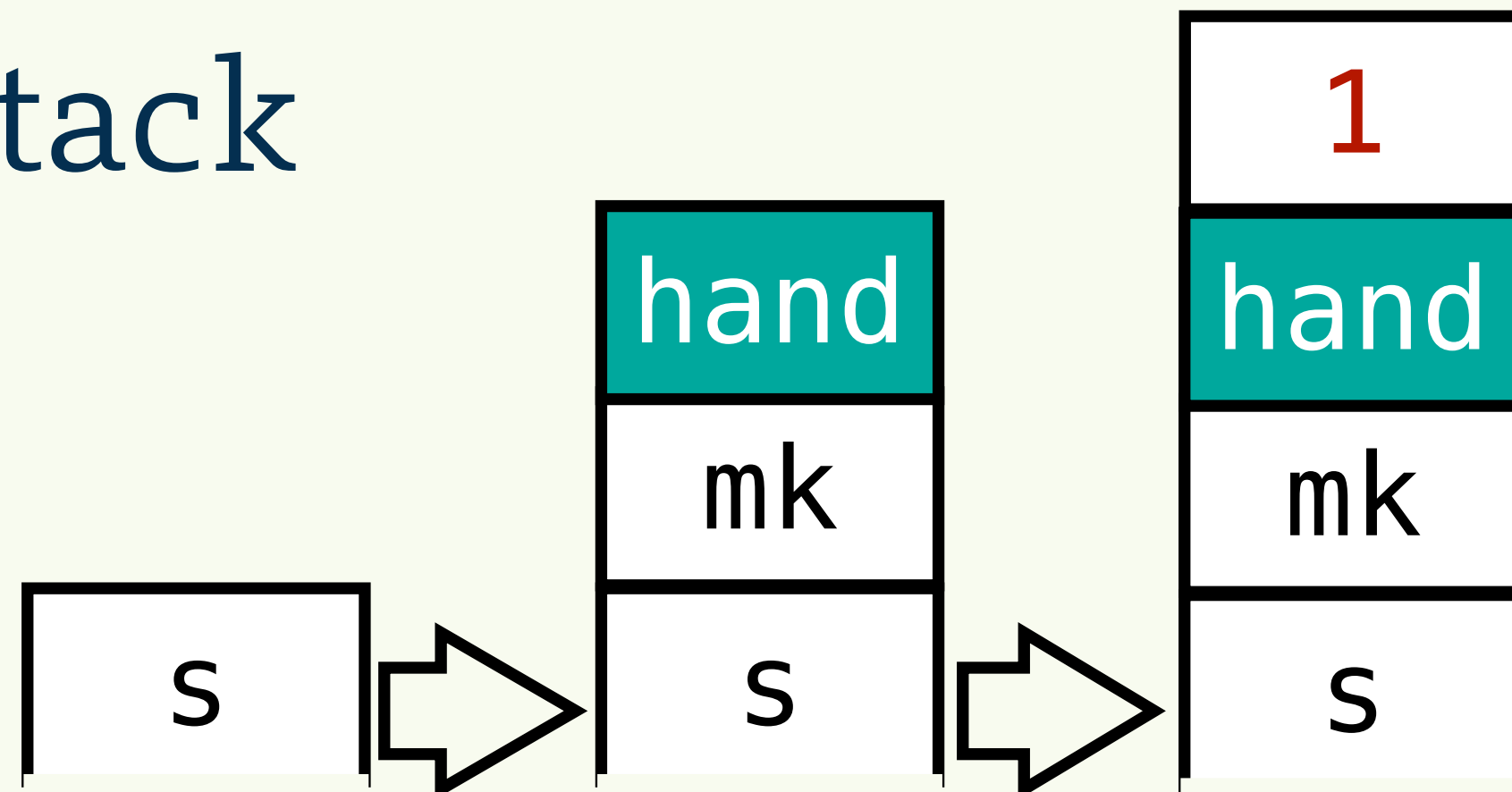


Effect Handlers in Target Language

Source term

handle 1 + do op (
with { ... ; op _ k -> k 0 })

Target stack



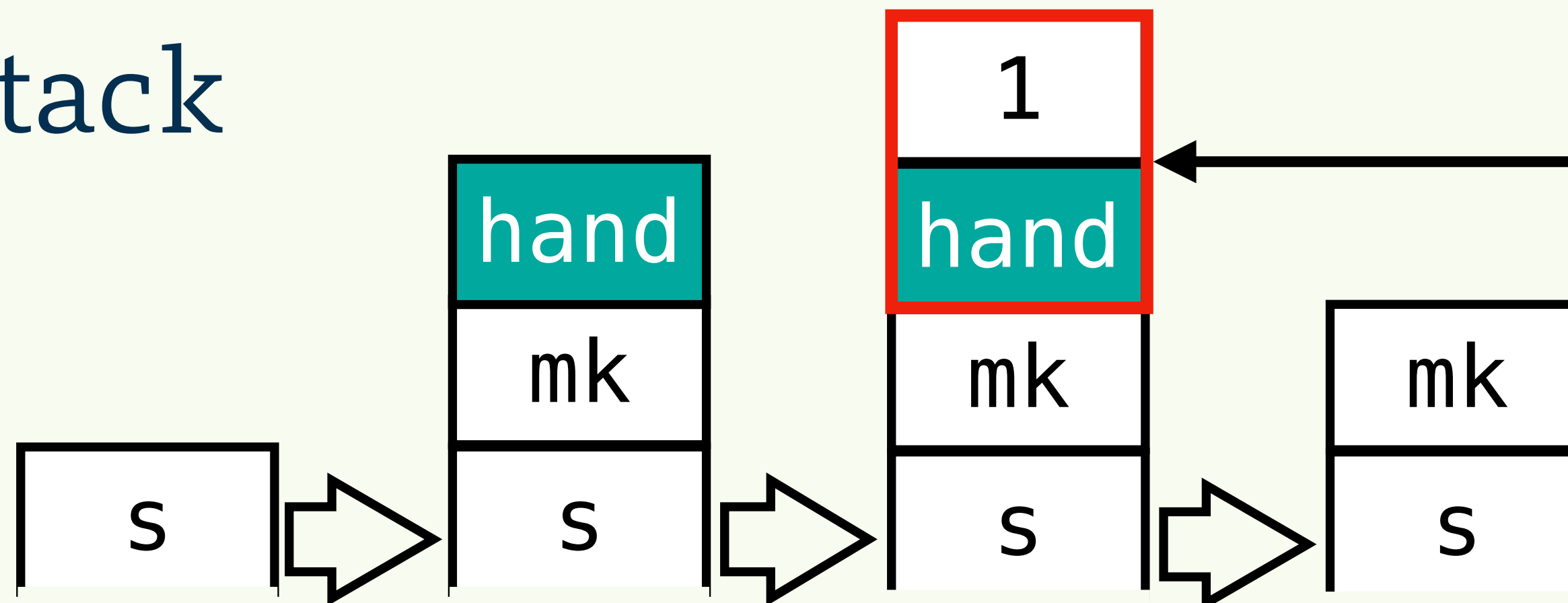
Effect Handlers in Target Language

Source term

```
handle 1 + do op ()  
with { ...; op _ k -> k 0 }
```

Captured continuation

Target stack

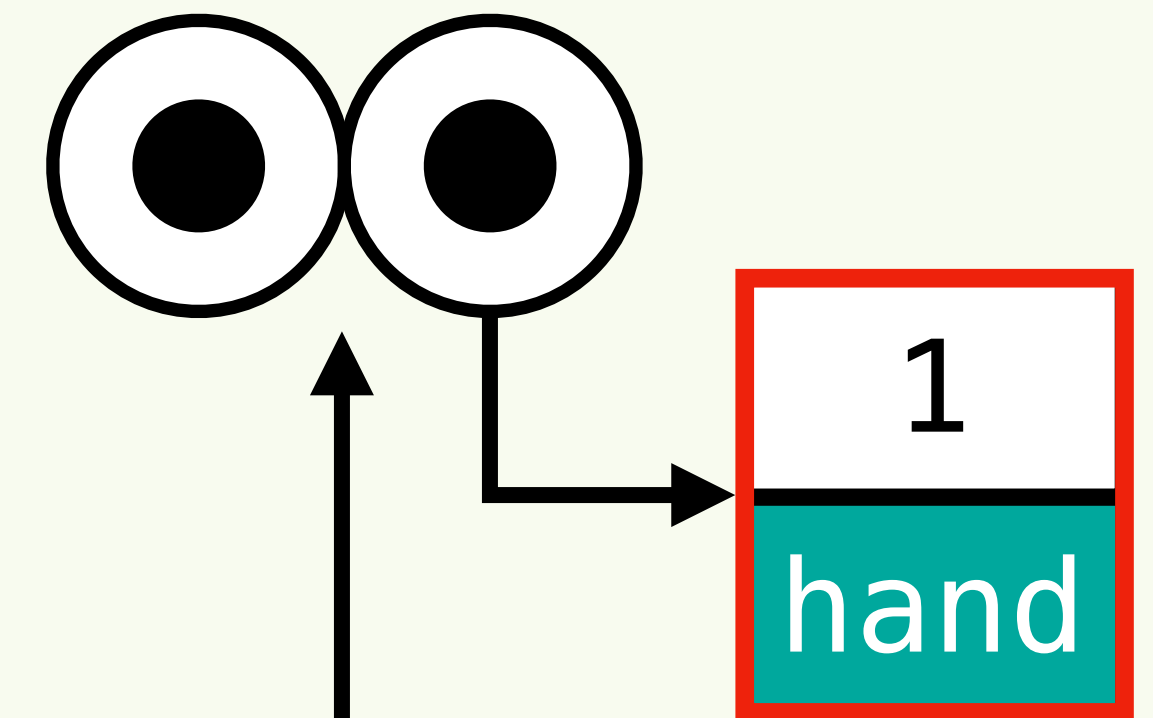
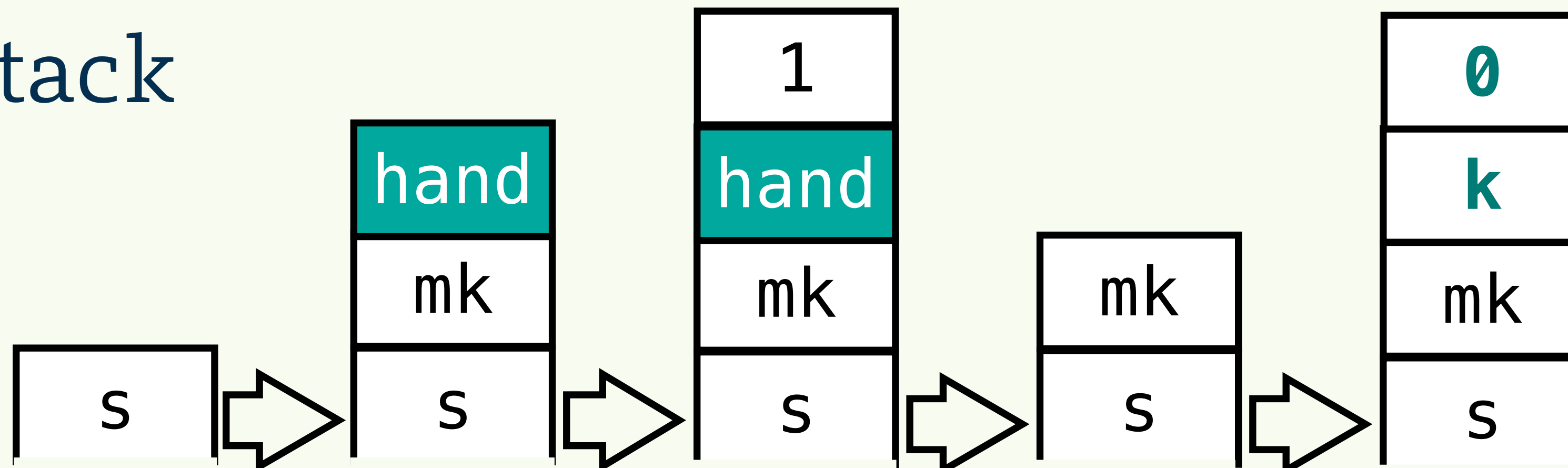


Effect Handlers in Target Language

Source term

```
handle 1 + do op ()  
with { ...; op _ k -> k 0 }
```

Target stack



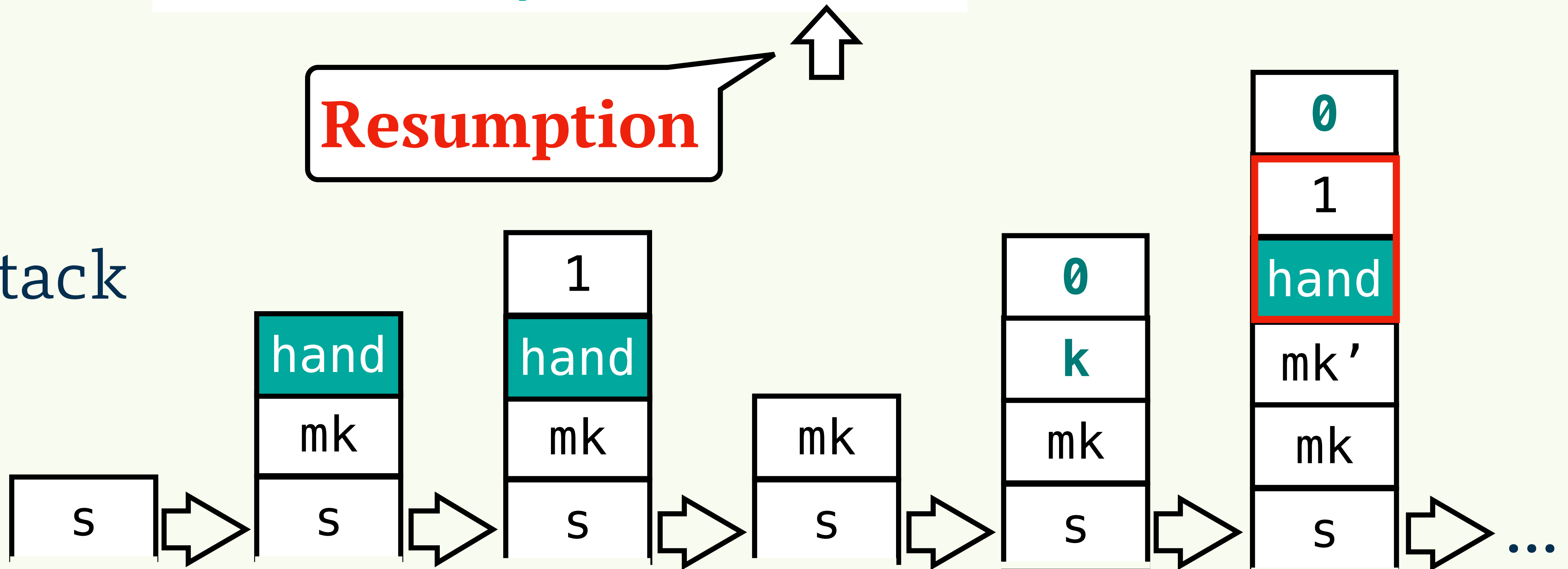
Effect Handlers in Target Language

Source term

```
handle 1 + do op ()  
with { ...; op _ k -> k 0 }
```

Resumption

Target stack



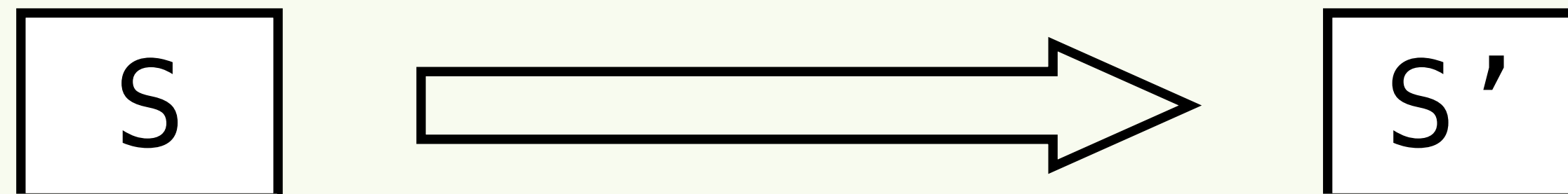
Typing Instructions in Target Language

Judgement

$\Gamma \vdash \text{code} : S \Rightarrow S'$

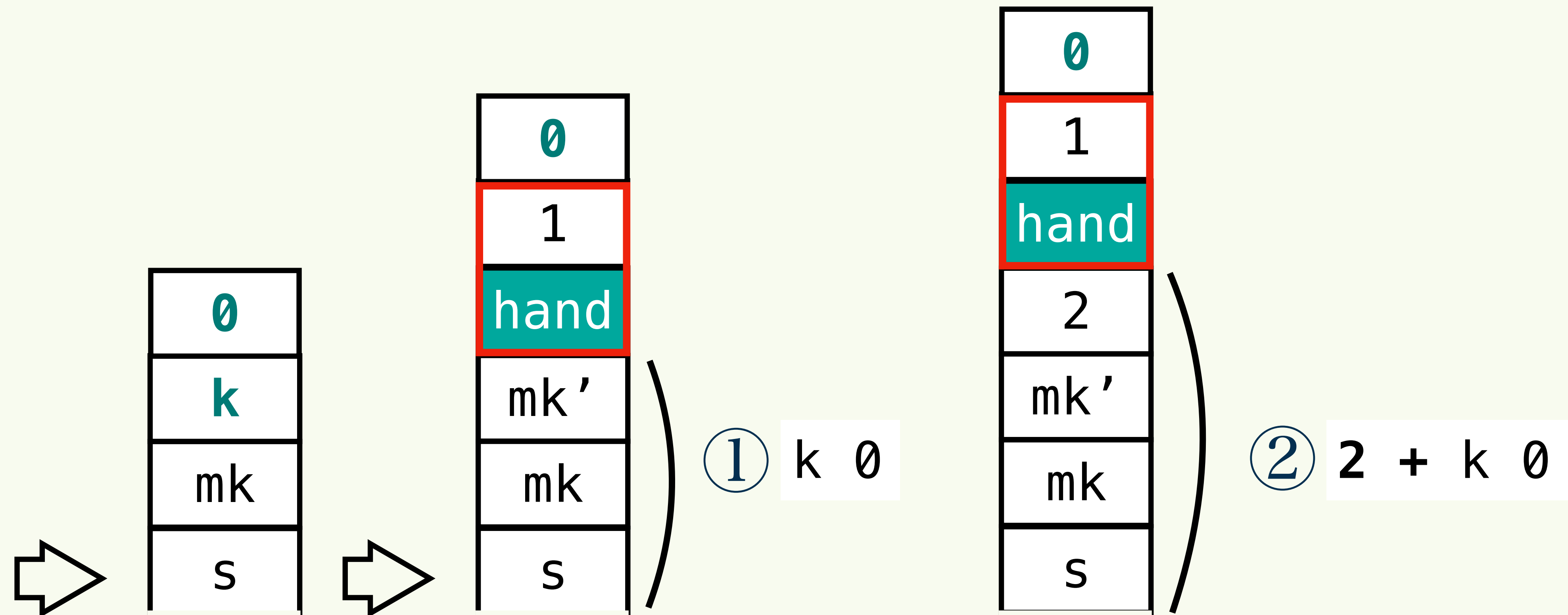
Before & after execution

Stack



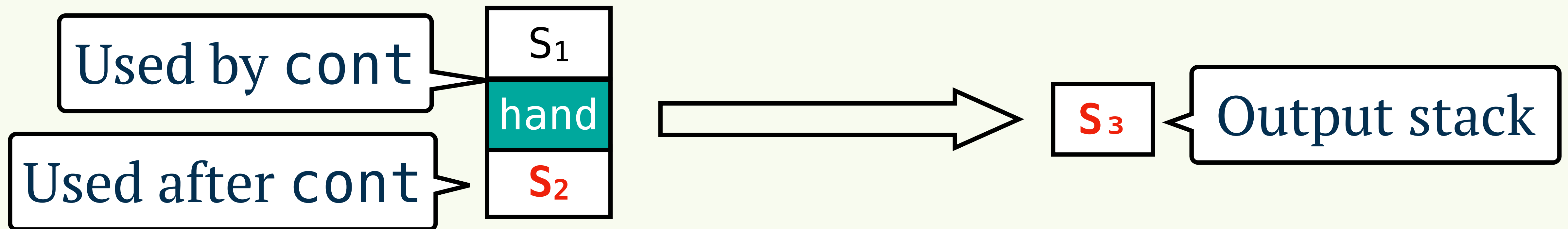
Motivation for Stack Polymorphism

Stacks before/after resumption are **not known** when capturing continuation



Typing Continuations with Stack Polymorphism

$\Gamma \vdash \text{cont} : \forall S_2, S_3. (S_1 \text{ ++ Hand } \dots :: S_2) \Rightarrow S_3$



Intrinsically Typed Compiler for Effect Handlers

Compiler for top-level program

`compile` : $\text{Cmp } \Gamma (A, []) \rightarrow \text{Code } \Gamma S (A :: S)$

$\Gamma \vdash M : (A, \varepsilon)$

$\Gamma \vdash \text{code} : S \Rightarrow (A :: S)$

Type checked definition of function `compile`
= **Proof** of type preservation of compiler

Intrinsically Typed Compiler for Effect Handlers

Compiler for top-level program

`compile : Cmp Γ (A , []) \rightarrow Code Γ S (A :: S)`

$\Gamma \vdash M : (A , \varepsilon)$

$\Gamma \vdash \text{code} : S \Rightarrow (A :: S)$

```
compileV : Val  $\Gamma$  A  $\rightarrow$  Code  $\Gamma$  (ValTy A :: S) S'  $\rightarrow$  Code  $\Gamma$  S S'  
compileC : Cmp  $\Gamma$  (A , E)  $\rightarrow$  PureCodeCont  $\Gamma$  (ValTy A :: S1) (A' , E)  $\rightarrow$  Code  $\Gamma$  (S1 ++ HandTy  $\Gamma$ 1 S S' (A' , E) :: S) S'  
-- auxiliary function for compiling handlers  
compileH : Hdl  $\Gamma$  (C  $\Rightarrow$  D)  $\rightarrow$  HandlerCode  $\Gamma$  C D  
-- auxiliary function for compiling operation clauses  
compileOps :  
  OperationClauses  $\Gamma$  E1 (B , E2)  $\rightarrow$   
   $\forall \{S_1 S_2 S_3 \Gamma_1 \Gamma'_1 A\} \rightarrow$  OperationCodes B E1 E2  $\Gamma$  (ContTy  $\Gamma'_1$  (ValTy B :: S1) (A , E2) :: (S1 ++ HandTy  $\Gamma_1$  S2 S3 (A , E2) :: S2)) S3  
  
compileV Unit = PUSH unit  
compileV (Var x) = LOOKUP x  
compileV {A = A  $\Rightarrow$  (B , E1)} (Lam e) =  
  ABS ( $\lambda \{S_1 S_2 S_3 \Gamma_1 \Gamma'_1 A'\} \rightarrow$  compileC {S1 = (ContTy  $\Gamma_1$  (ValTy B :: S1) (A' , E1)) :: _} e RET)  
  
compileC (Handle e With h) k = MARK (compileH h) k (compileC {S1 = []} e UNMARK)  
compileC (Let_In_ {A = A}{E = E1}{B = B} e1 e2) k =  
  compileC e1 $ BIND (compileC {S1 = (ContTy _ (ValTy B :: _)} (_ , _) :: _} e2 RET) k  
compileC (Return v) k = compileV v k  
compileC (Do l v) k = compileV v $ CALLOP l k  
compileC (App v1 v2) k = compileV v1 $ compileV v2 $ APP k  
  
compileH {D = (B , E2)} ( $\lambda x$  ret | $\lambda x,r$  ops) { $\Gamma_1$ } { $\Gamma'_1$ } {S1} {S2} {S3} {A'} =  
  (compileC {S1 = ContTy  $\Gamma'_1$  (ValTy B :: S1) (A' , E2) :: _} ret RET , compileOps ops)  
  
compileOps {E1 = []} [] = []  
compileOps {E1 = (op A' B') :: E'} {B = B} {E2 = E2} (e :: es) {S1} {S2} {S3} { $\Gamma_1$ } { $\Gamma'_1$ } {A1} =  
  (compileC {S1 = ContTy  $\Gamma'_1$  (ValTy B :: S1) (A1 , E2) :: _} e RET) :: (compileOps es)  
  
compile : Cmp  $\Gamma$  (A , [])  $\rightarrow$  Code  $\Gamma$  S (ValTy A :: S)  
compile c = INITHAND (compileC {S1 = []} c UNMARK)
```

Definition type checked

All Done

Future Work

- Semantics preservation
 - Extending compiler calculation [Pickard+'21]
- Other continuation facilities
 - shift0/reset0 , shallow handlers , etc
- Optimization based on continuation usage
 - Extend with multiplicities [Atkey'18]

Summary

This work

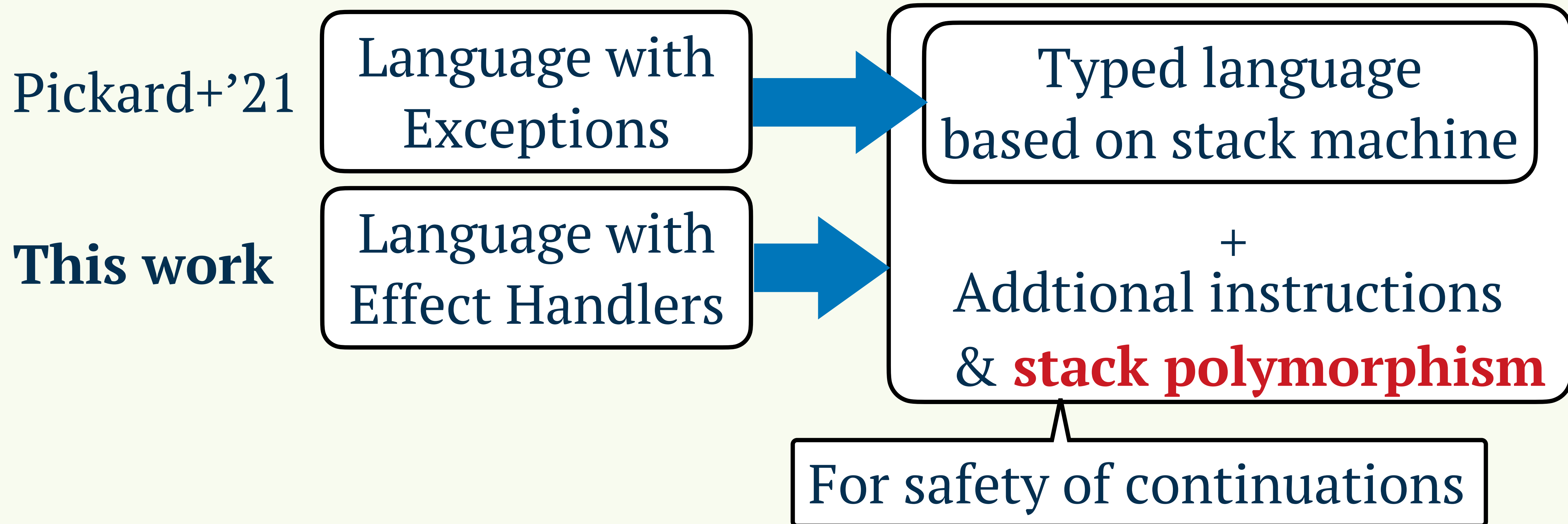
An intrinsically typed compiler for effect handlers in Agda
(Using stack polymorphism to type continuations)

Future work

- Semantics preservation
- Other continuation facilities
- Optimization based on continuation usage

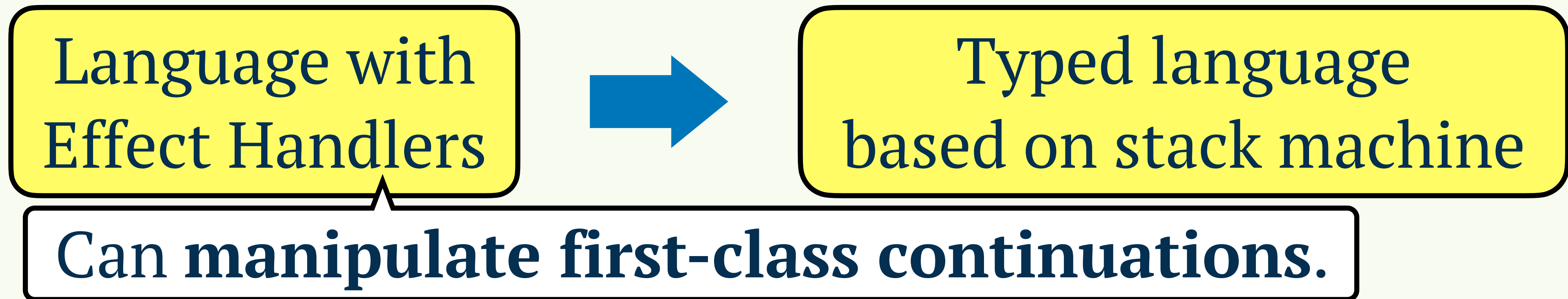
Summary

An intrinsically typed compiler for effect handlers in Agda



Out Contributions

Implementing the intrinsically typed compiler to prove type-preservation of compilation



Ensure safety of continuation capture and resumption

Incorporating **stack polymorphism**^[Morrisett+'02]

Novelty : Using in the context of compiling continuations.

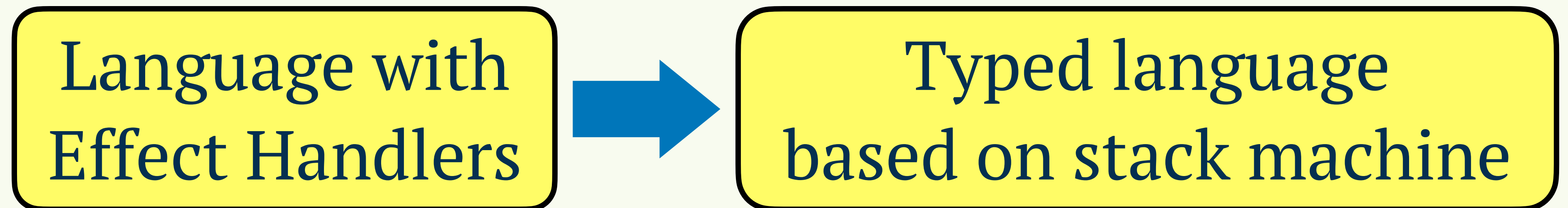
Background / Type-Preserving Compilation



Morrisett'99



Our work

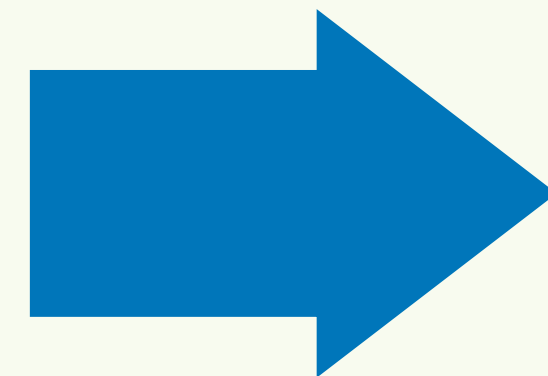


Background / Intrinsically Typed Compiler [Pickard+'21]

An approach to prove type-preserving compilation

Data types **only representing well-typed terms**

Intrinsically typed AST
of **source** language



Intrinsically typed AST
of **target** language

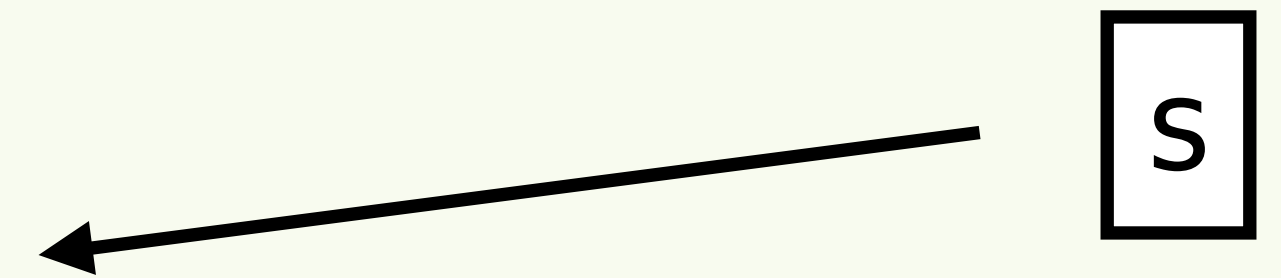
Type-preserving conversion
by intrinsically-typed compiler

Effect Handlers in Target Language

Source term

```
handle 1 + (do op ())  
with {...; op _ k -> k 0 }
```

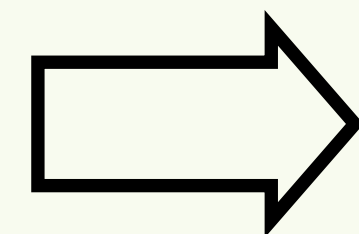
Target Stack



Effect Handlers in Target Language

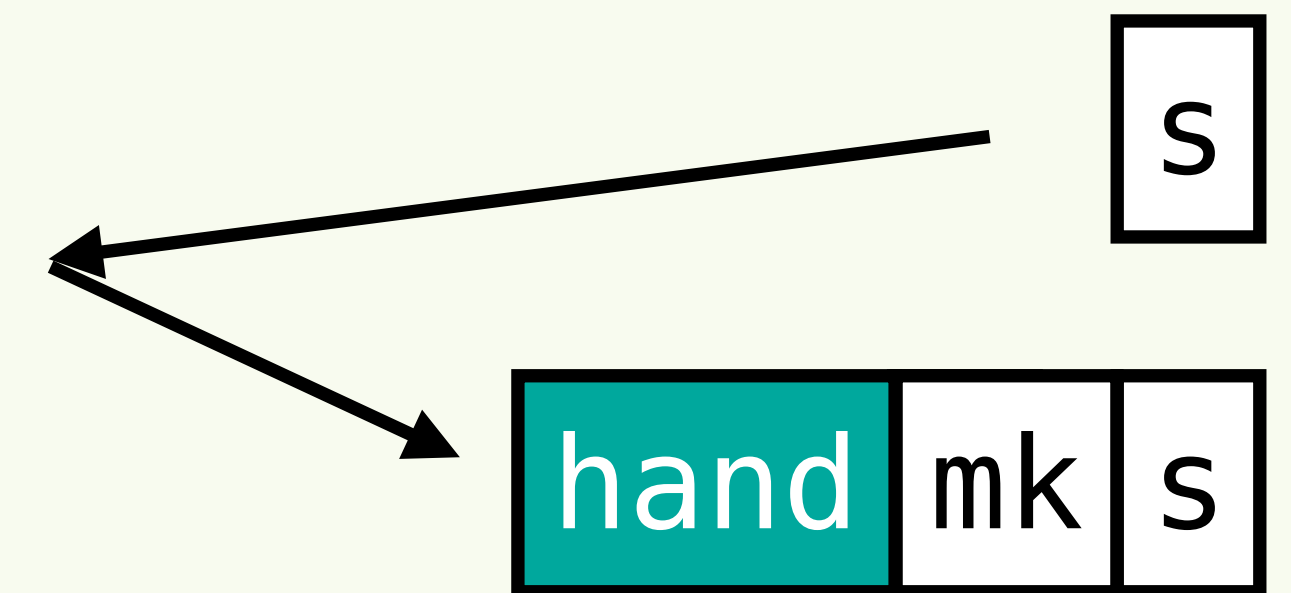
Source term

```
handle 1 + (do op ())  
with {...; op _ k -> k 0 }
```



```
1 + (do op ())
```

Target Stack

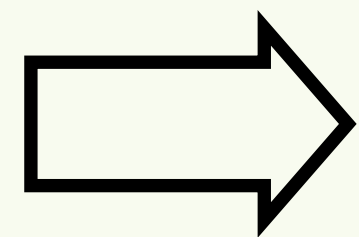


Compiled handler

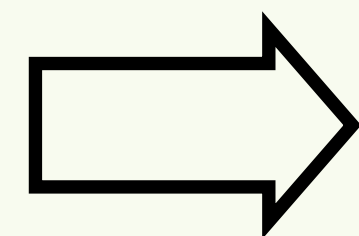
Effect Handlers in Target Language

Source term

```
handle 1 + (do op ())  
with { ...; op _ k -> k 0 }
```

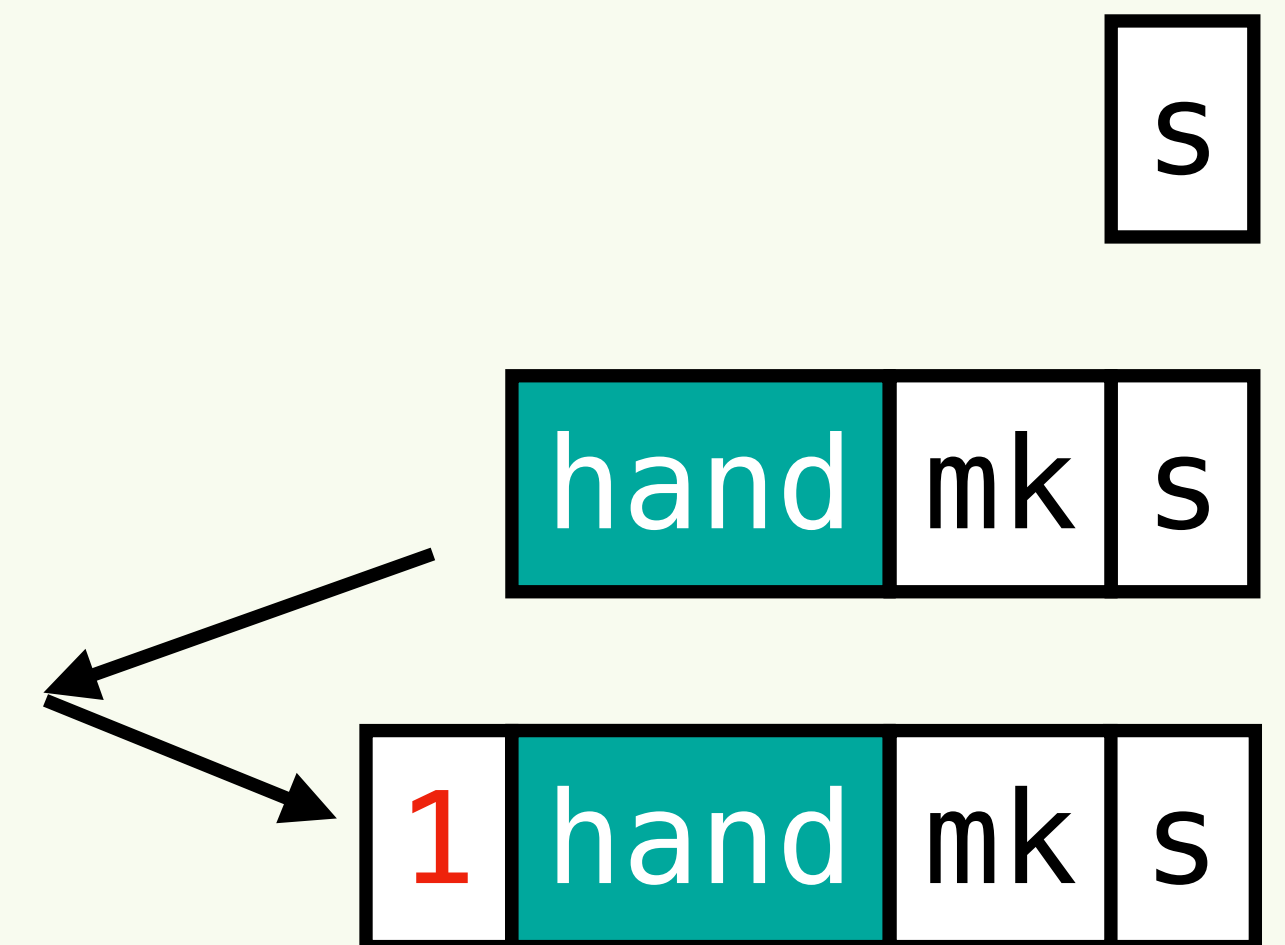


```
1 + (do op ())
```



```
1 + (do op ())
```

Target Stack



Effect Handlers in Target Language

Source term

```
handle 1 + (do op ())  
with {...; op _ k -> k 0 }
```

⇒ 1 + (do op ())

⇒ _ + (do op ())

⇒ k 0

Capture

Continuation Object ADD, 1 hand

Target Stack

s

hand mk s

1 hand mk s

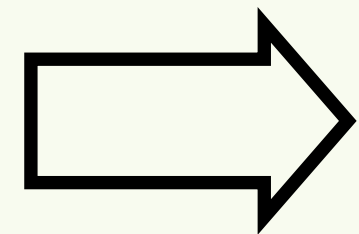
mk s

Copy stack

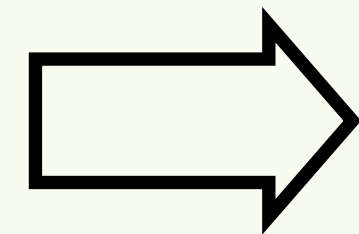
Effect Handlers in Target Language

Source term

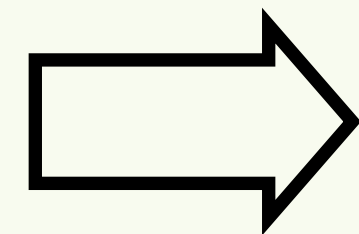
```
handle 1 + (do op ())  
with { ...; op _ k -> k 0 }
```



1 + (do op ())

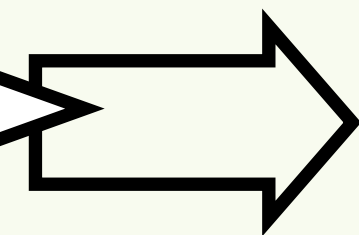


_ + (do op ())



k 0

Resume



_ + _

Target Stack

s

hand mk s

1 hand mk s

mk s

0 1 hand mk' mk s

Background / Intrinsically Typed Compiler[Rouvoet +'21]

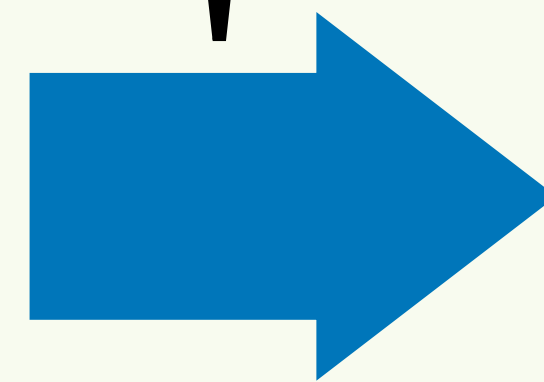
An approach to prove type-preserving compilation

Type checked definition = Proof of type preservation

Pickard+'21 & This work

Intrinsically typed compilation

Intrinsically typed AST
of source language

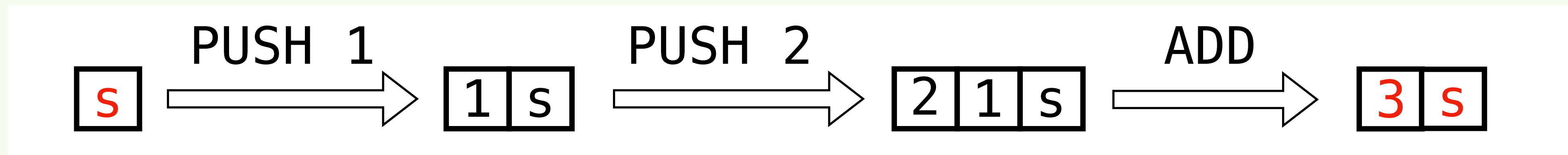


Intrinsically typed AST
of target language

Data types only representing well-typed terms

Target Language L_T : Language based on stack machine

ex. `PUSH 1 (PUSH 2 (ADD HALT))` : $S \Rightarrow N :: S$



Intrinsically-typed AST

data Code (Γ : Ctx) : StackTy \rightarrow StackTy \rightarrow Set where

PUSH : Val A \rightarrow Code Γ (A :: S) S' \rightarrow **Code Γ S S'**

Rest instructions

$\Gamma \vdash inst : S \Rightarrow S'$

Source Language L_s / Intrinsically typed AST

Data types only representing well-typed terms in L_s

```
App (Lam ...) unit : Cmp [] (A , E)
```

✗ App 0 0

```
data Val (Γ : Ctx) : VTy → Set
```

$\Gamma \vdash V : A$

```
data Cmp (Γ : Ctx) : CTy → Set
```

$\Gamma \vdash M : (A , E)$

```
data Cmp Γ where
```

```
  App : Val Γ (A ⇒ C) → Val Γ A → Cmp Γ C
```

```
  ...
```

$$\frac{\Gamma \vdash v : A \Rightarrow C \quad \Gamma \vdash w : A}{\Gamma \vdash v w : C} \text{ [T-APP]}$$

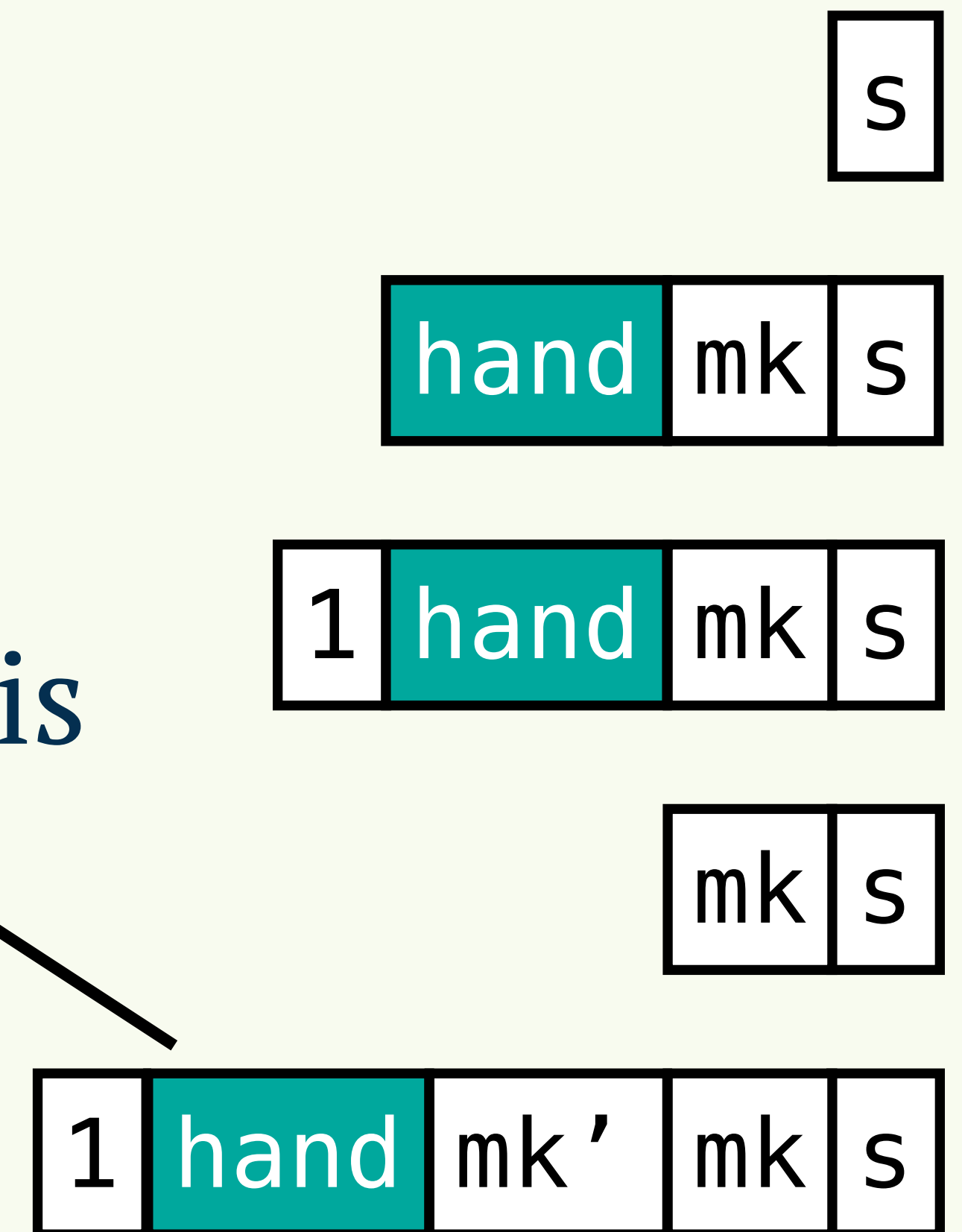
Motivation for Stack Polymorphism

Source term

```
handle 1 + (do op ())  
with { ...; op _ k -> k 0 }
```

When **compiling** the continuation,
the stack required by resumption handler is
unknown

Target stack



Type of continuation in

PureCodeCont Γ S_1 $C =$
 $\forall \{S_2 S_3\} \rightarrow \text{Code } \Gamma (S_1 ++ \text{HandTy } \Gamma_1 S_2 S_3 C :: S_2) S_3$

Continuation can be resumed with arbitrary stacks

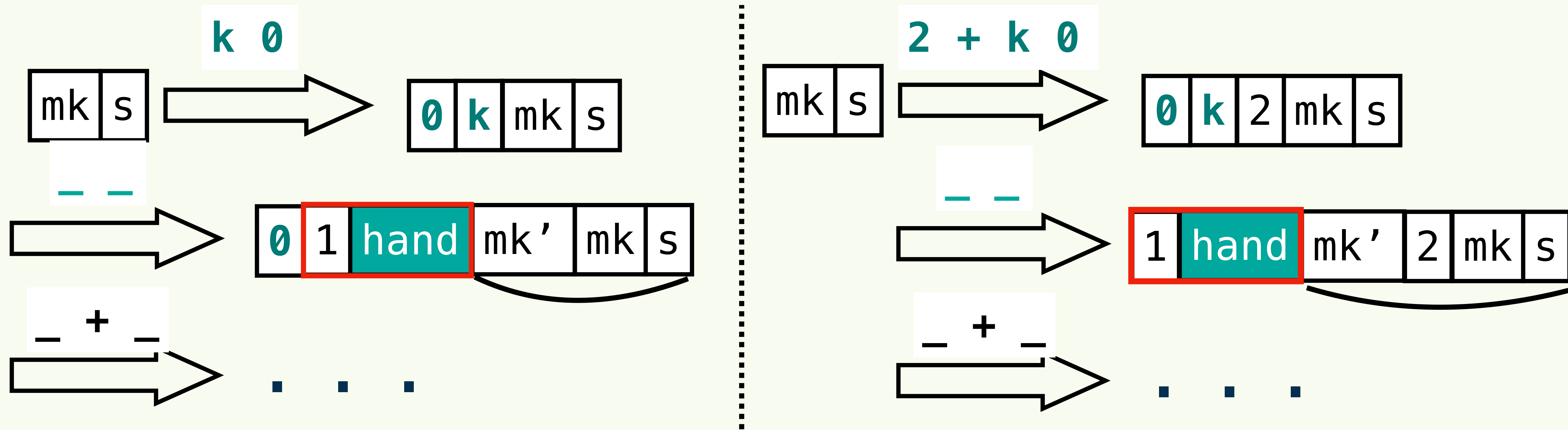
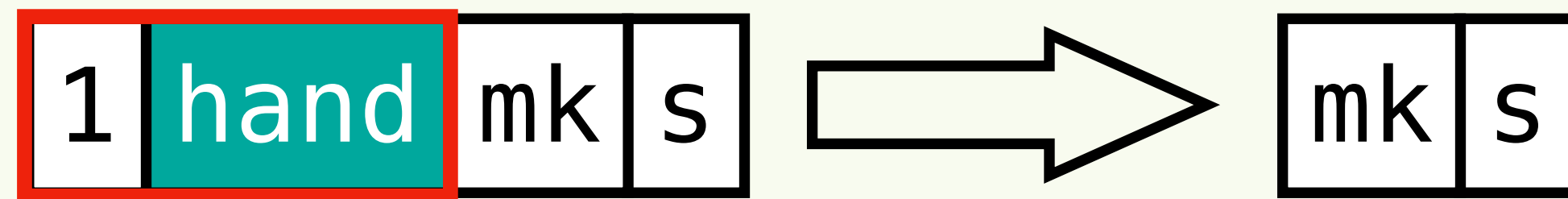
$\Gamma \vdash \text{inst} : \forall S_2 S_3 . \Rightarrow (A :: S)$

Motivation for Stack Polymorphism

`_ + (do op ())`

stack context

Statically Unknown



Intrinsically Typed Compiler / Keypoint

Previous CPS compiler for exception language^[Pickard +'21]

```
compileC :  
  Cmp  $\Gamma$  (A , E)  $\rightarrow$   
    Code ...  $\rightarrow$   
  Code (S1 ++ HandTy S S' :: S) S'
```

CPS Compiler for effectful computation

```
compileC :  
  Cmp  $\Gamma$  (A , E)  $\rightarrow$   
  (  $\forall$ {S S'}  $\rightarrow$  Code ... )  $\rightarrow$   
  Code  $\Gamma$  (S1 ++ HandTy  $\Gamma_1$  S S' (A' , E) :: S) S'
```

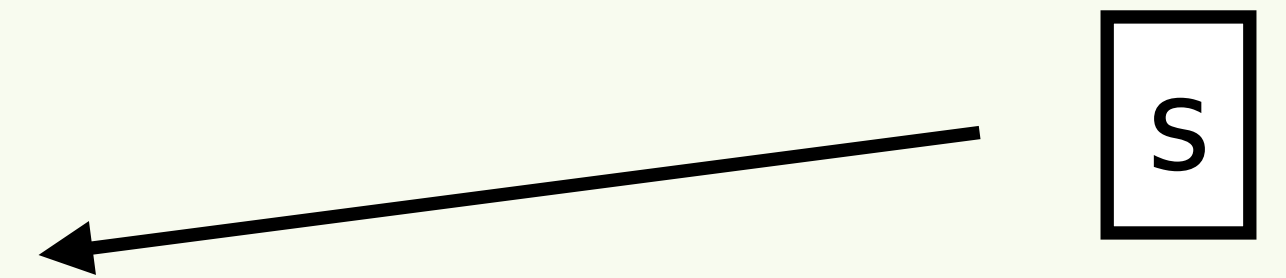
Redefined for first-class continuation

Effect Handlers in Target Language

Source term

```
handle 1 + (do op ())  
with {...; op _ k -> k 0 }
```

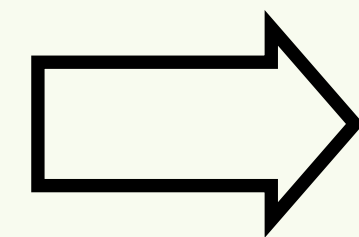
Target Stack



Effect Handlers in Target Language

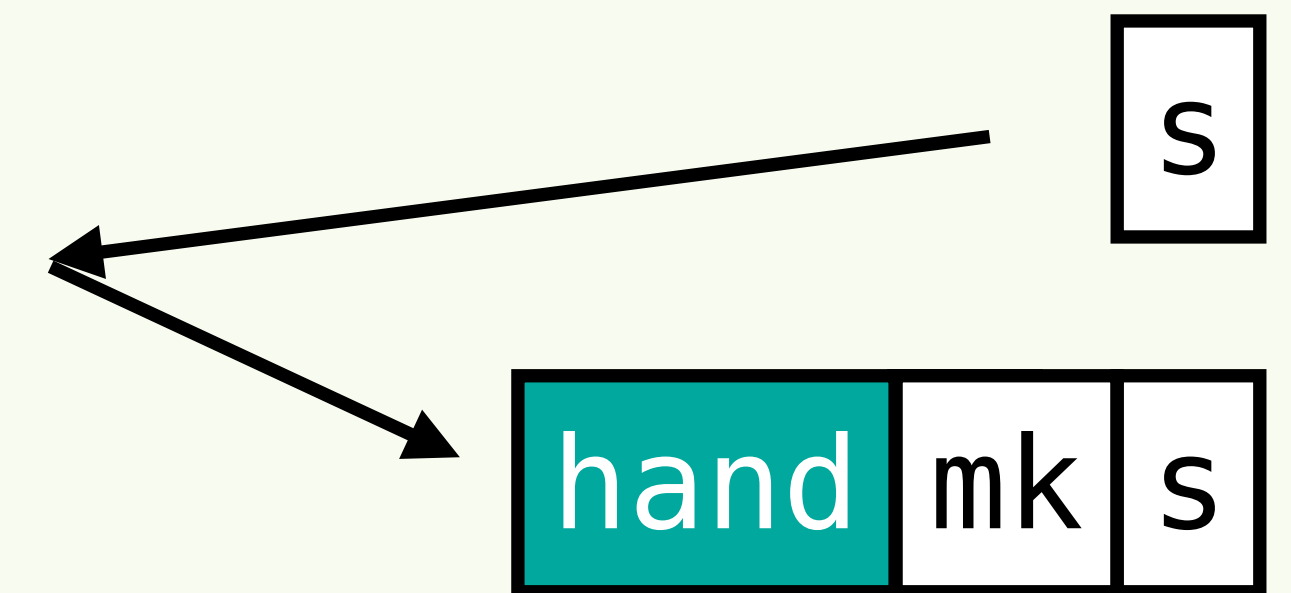
Source term

```
handle 1 + (do op ())  
with {...; op _ k -> k 0 }
```



```
1 + (do op ())
```

Target Stack

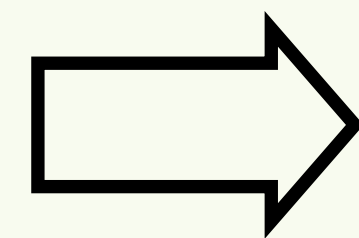


Compiled handler

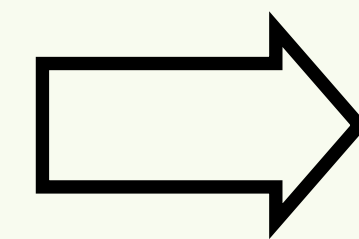
Effect Handlers in Target Language

Source term

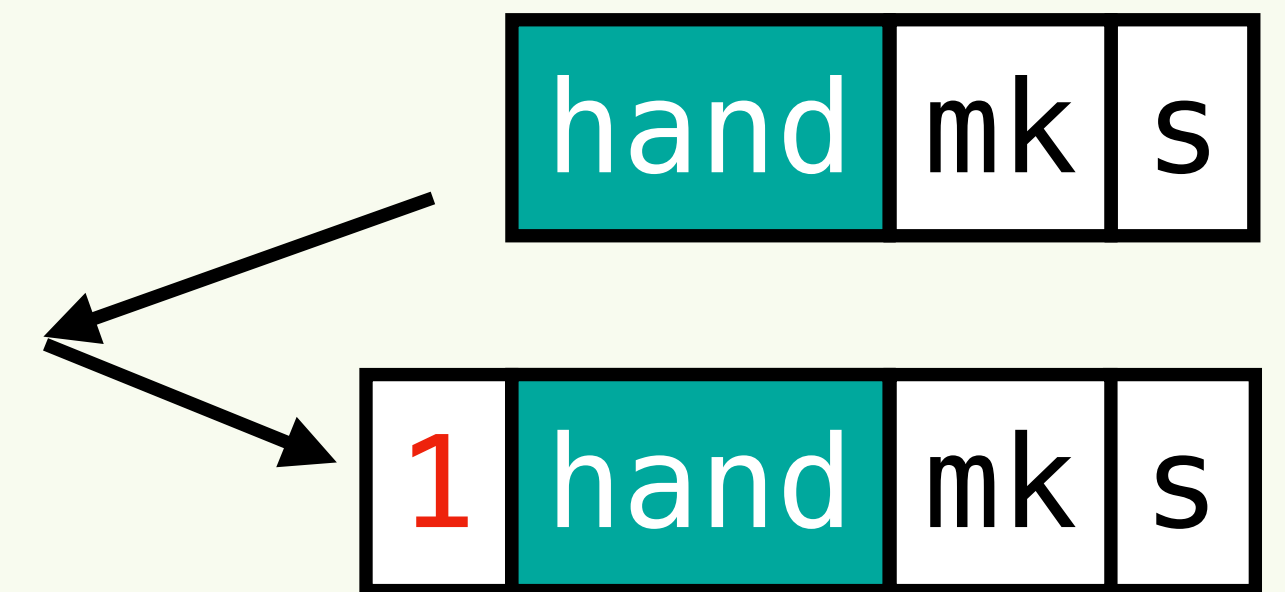
Target Stack



1 + (do op ())



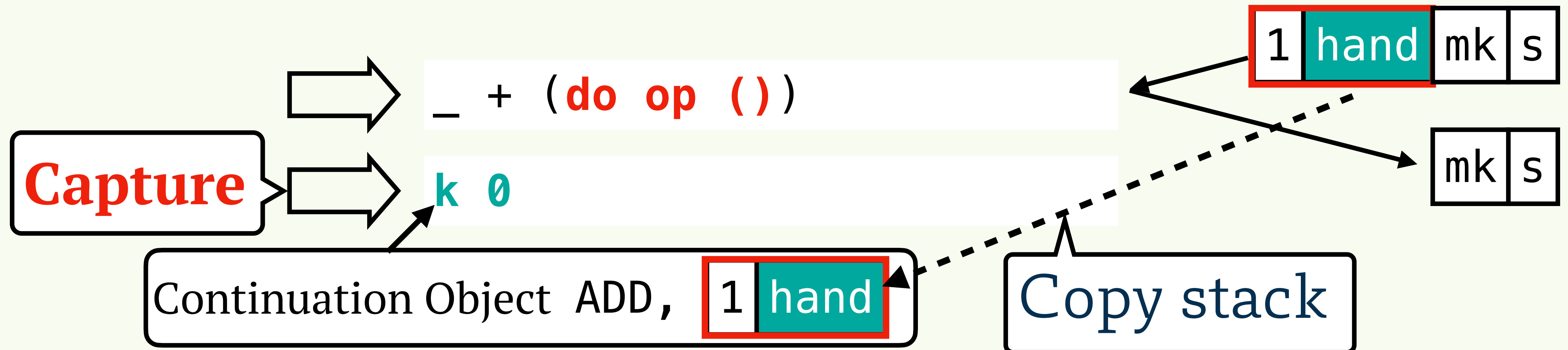
1 + (do op ())



Effect Handlers in Target Language

Source term

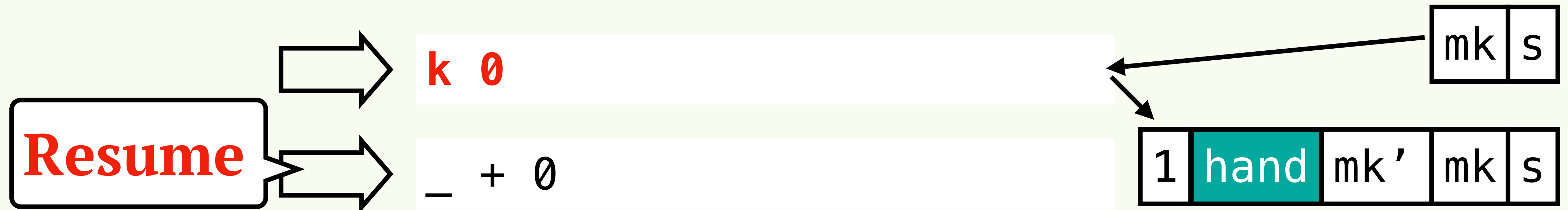
Target Stack



Effect Handlers in Target Language

Source term

Target Stack

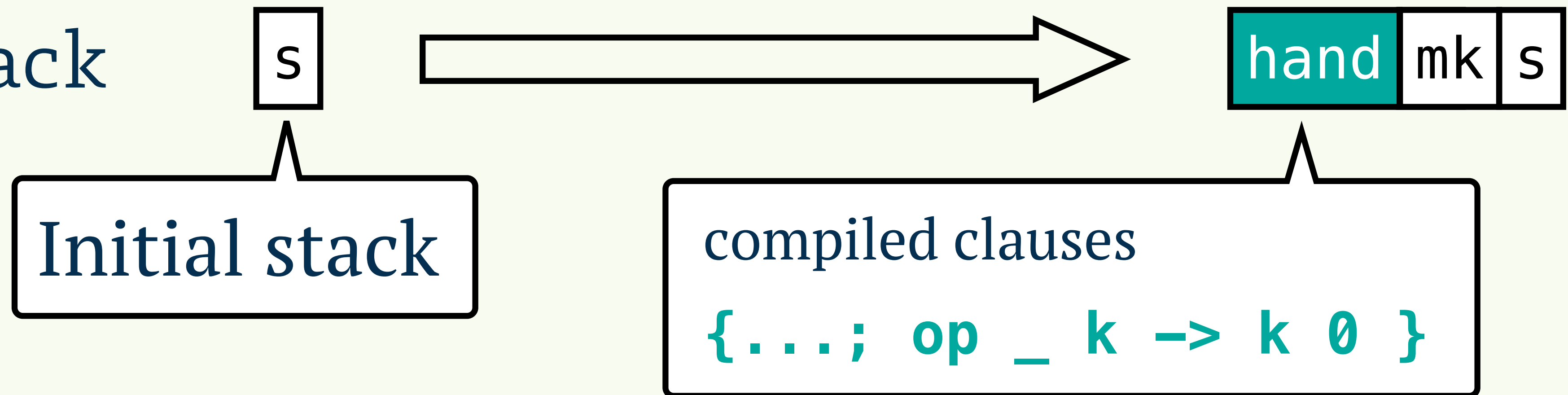


Effect Handlers in Target Language

Source term

```
handle 1 + do op ()  
with {...; op _ k -> k () }
```

Target stack



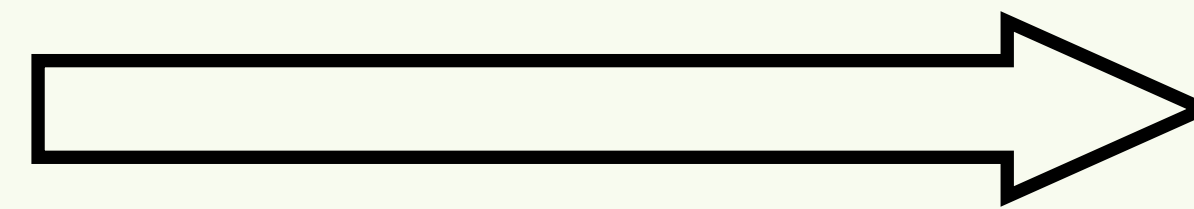
Effect Handlers in Target Language

Source term

1 + (do op ())

Target stack

hand	mk	s
------	----	---



1	hand	mk	s
----------	------	----	---

compiled clauses

{...; op _ k -> k 0 }

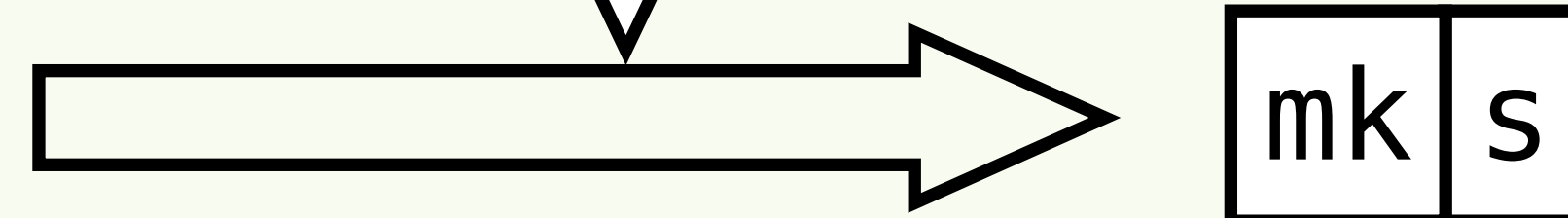
Effect Handlers in Target Language

Source term

`_ + (do op ())`

Capture Continuation

Target stack



compiled clauses

`{...; op _ k -> k 0 }`

Continuation

`_ + _ , 1 hand`

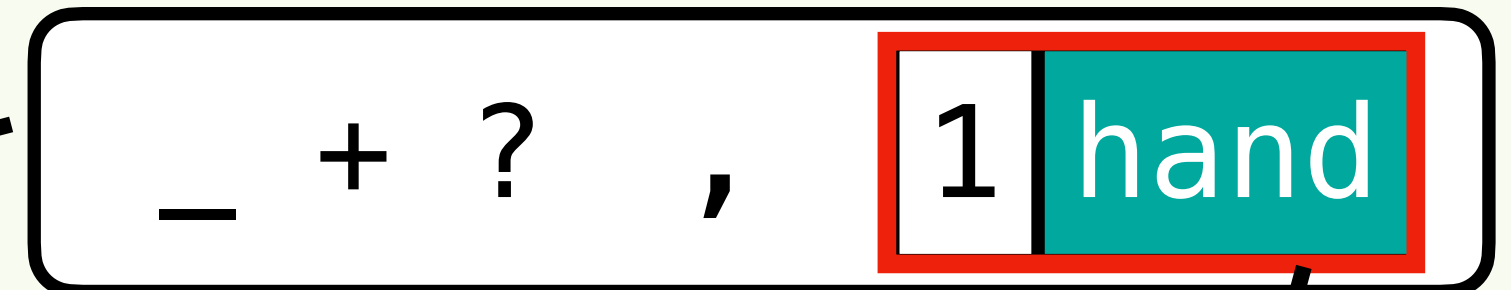
is bound to k

Next execution

Stack used by continuation

Effect Handlers in Target Language

Source term

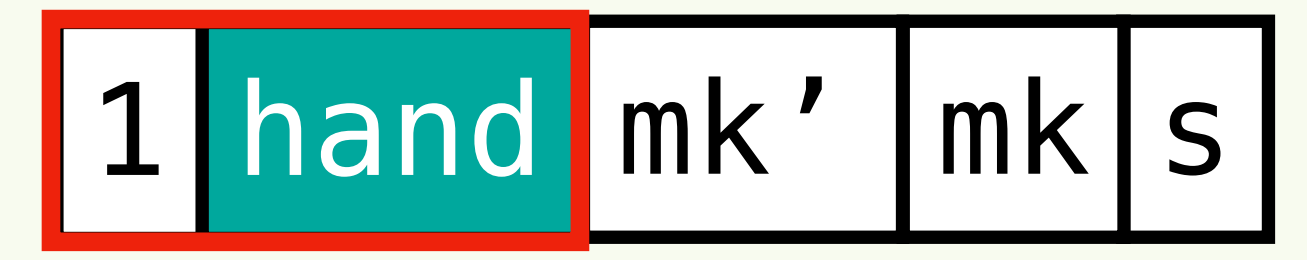
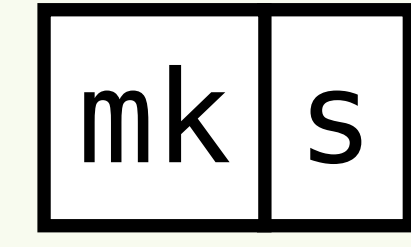
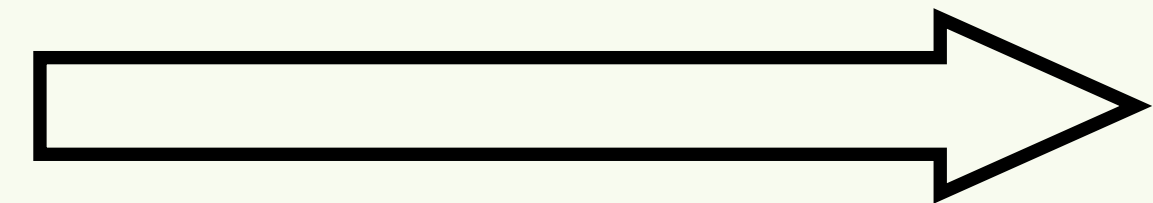
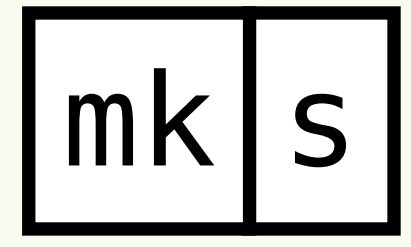


`k 0`

is bound to `k`

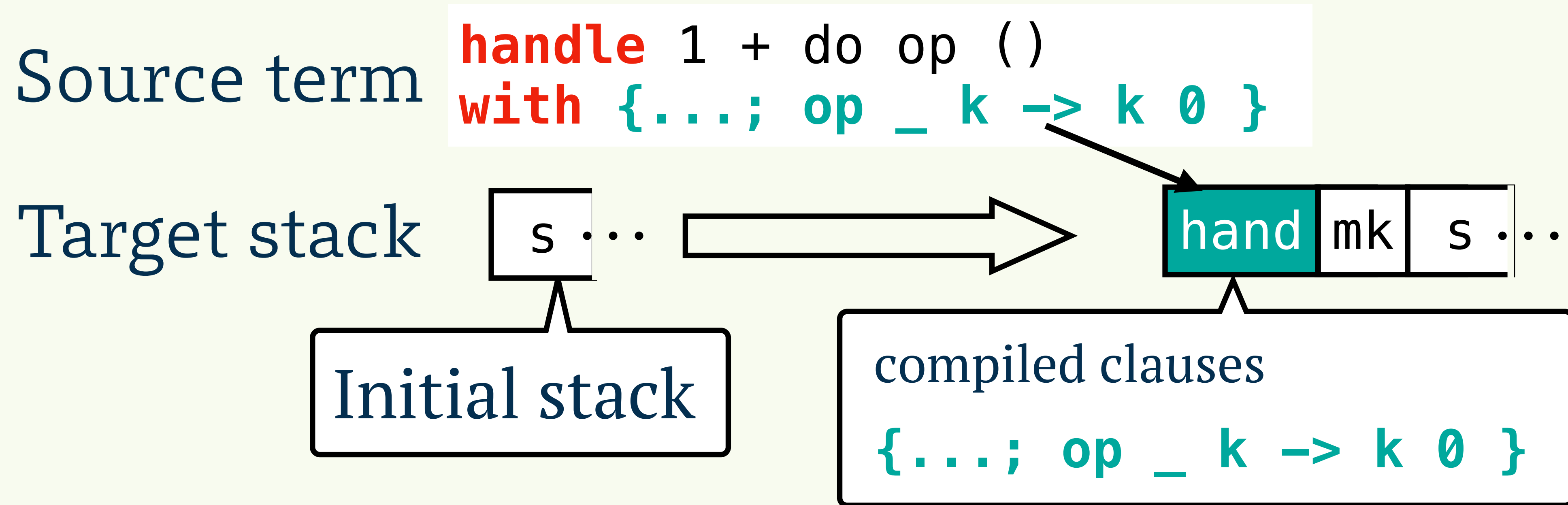
Resume Continuation

Target stack

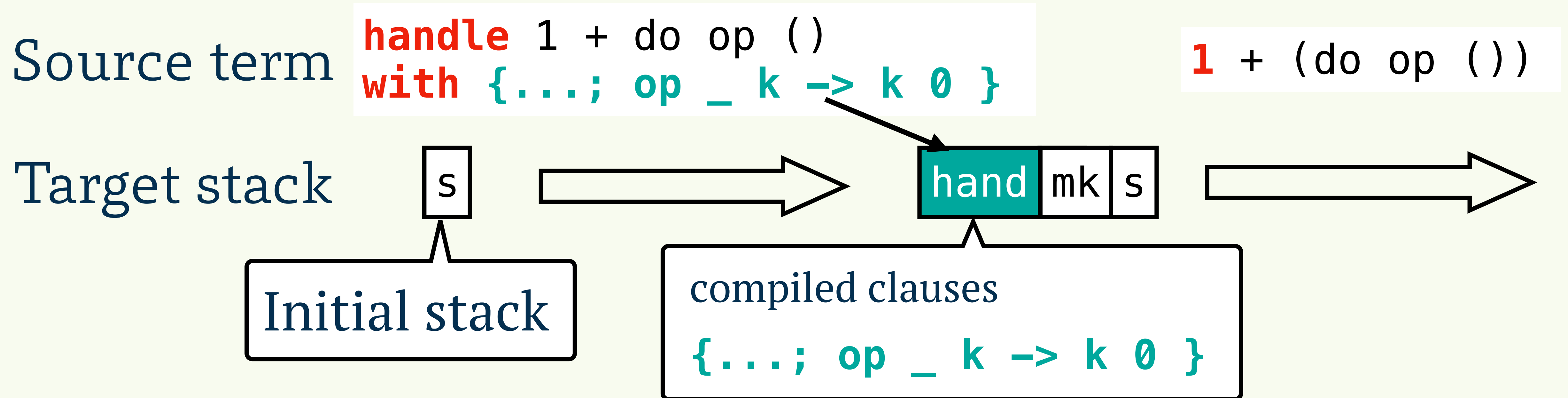


Reconstruct Stack

Effect Handlers in Target Language



Effect Handlers in Target Language



Effect Handlers in Target Language

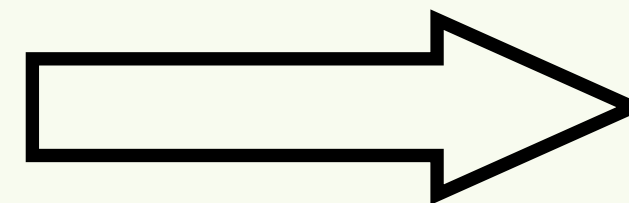
Source term

1 + (do op ())

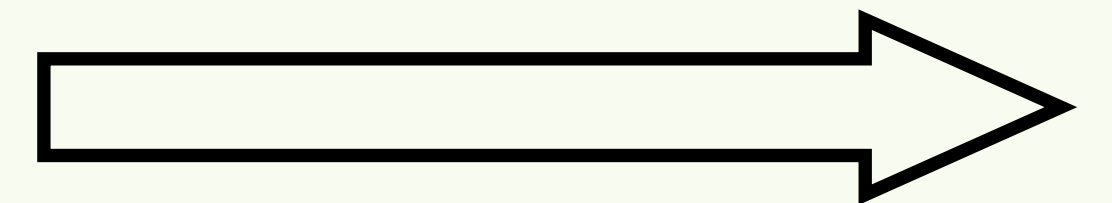
_ + (**do op ()**)

Target stack

hand | mk | s



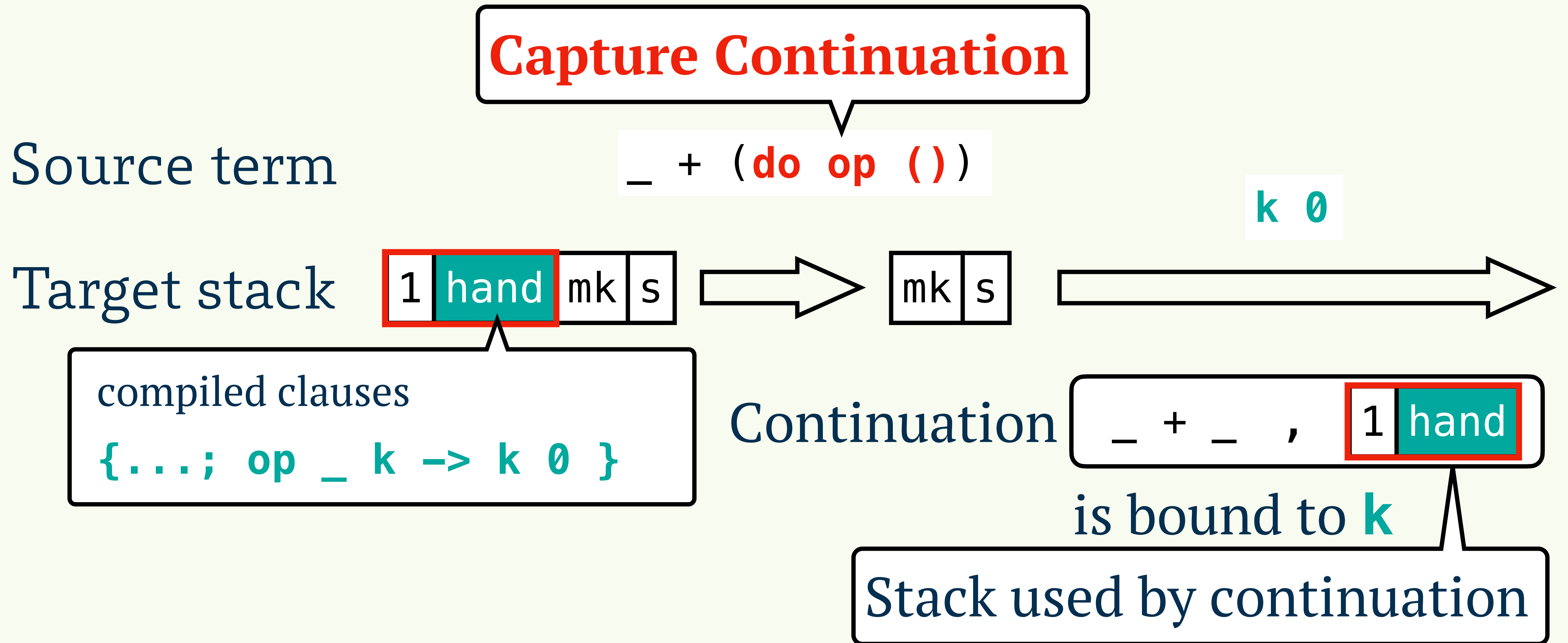
1 | hand | mk | s



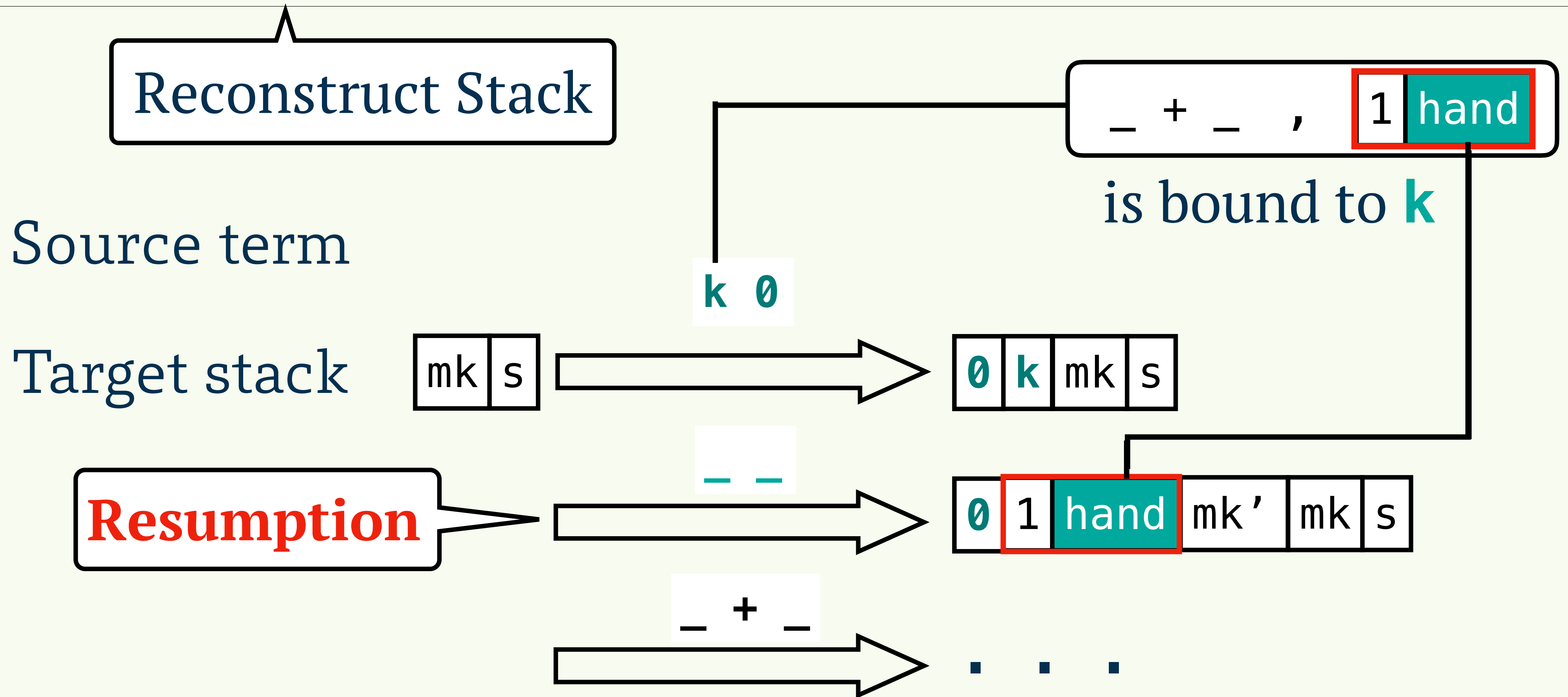
compiled clauses

{...; **op** _ k -> k 0 }

Effect Handlers in Target Language



Effect Handlers in Target Language

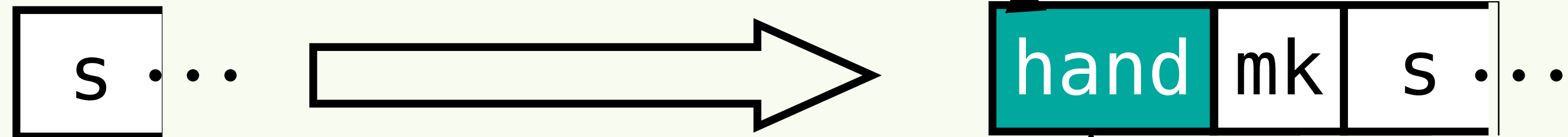


Effect Handlers in Target Language

Source term

```
handle 1 + do op ()  
with {...; op _ k -> k 0 }
```

Target stack



Initial stack

compiled clauses

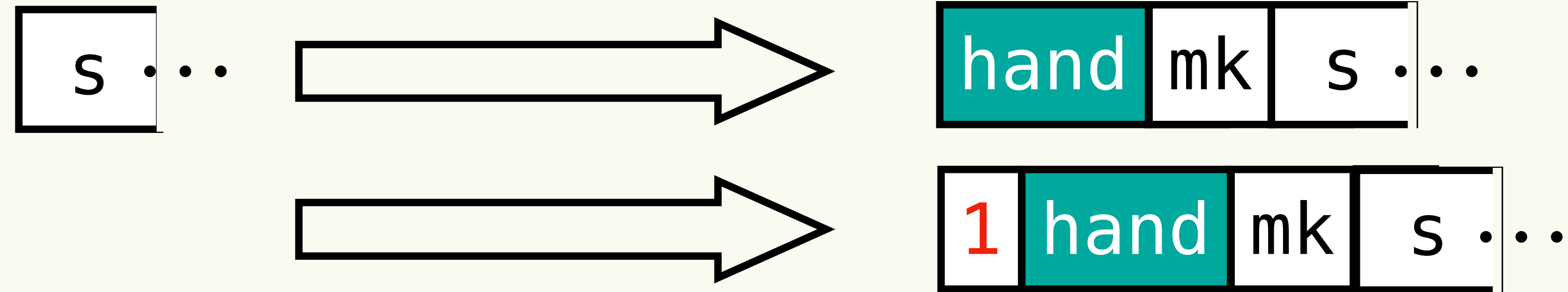
```
{...; op _ k -> k 0 }
```


Effect Handlers in Target Language

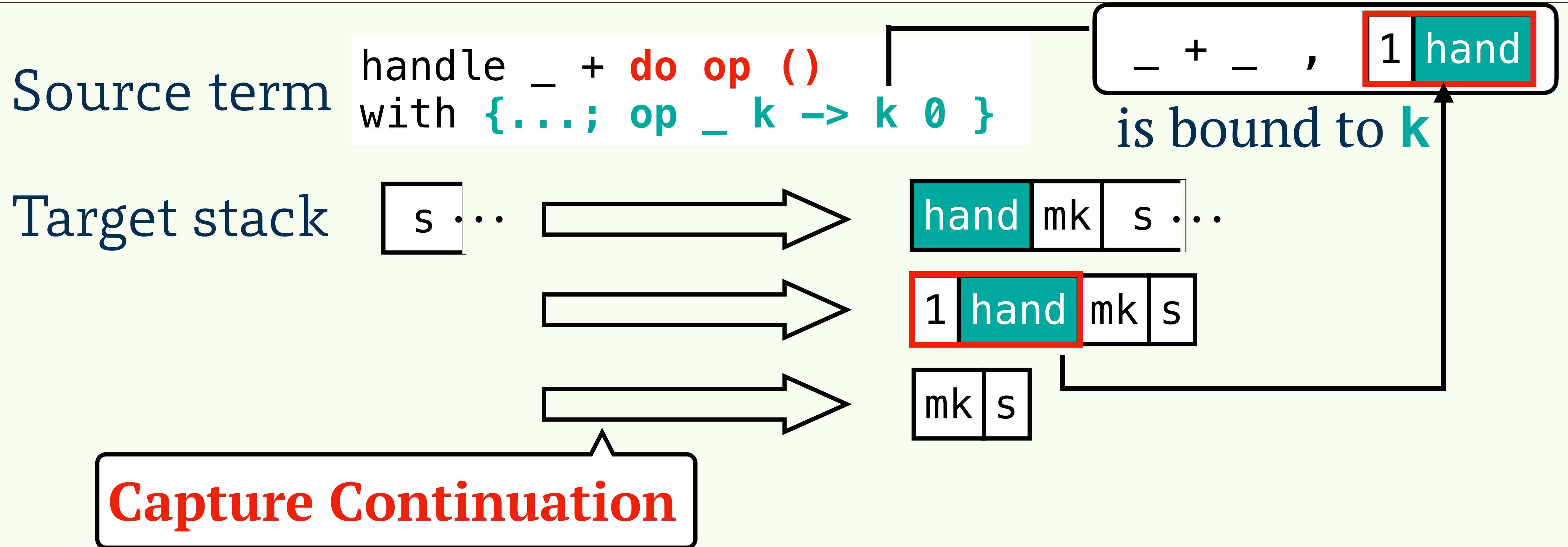
Source term

```
handle 1 + do op ()  
with { ...; op _ k -> k 0 }
```

Target stack



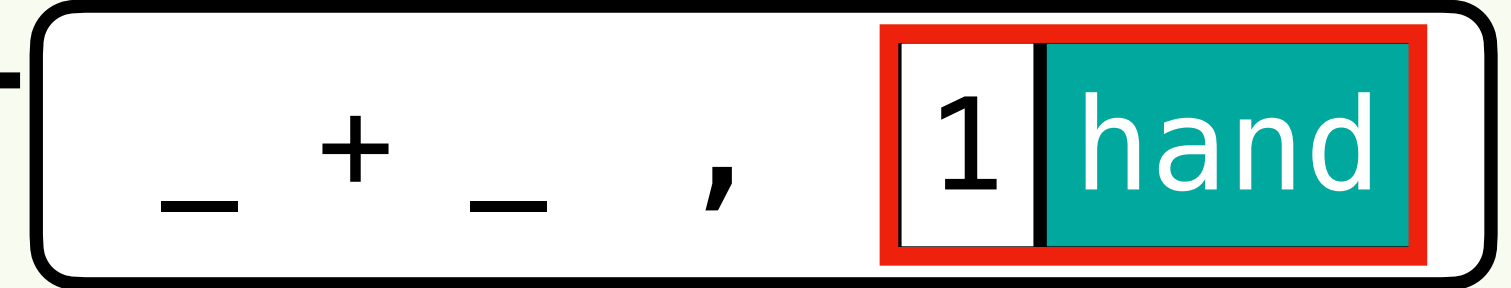
Effect Handlers in Target Language



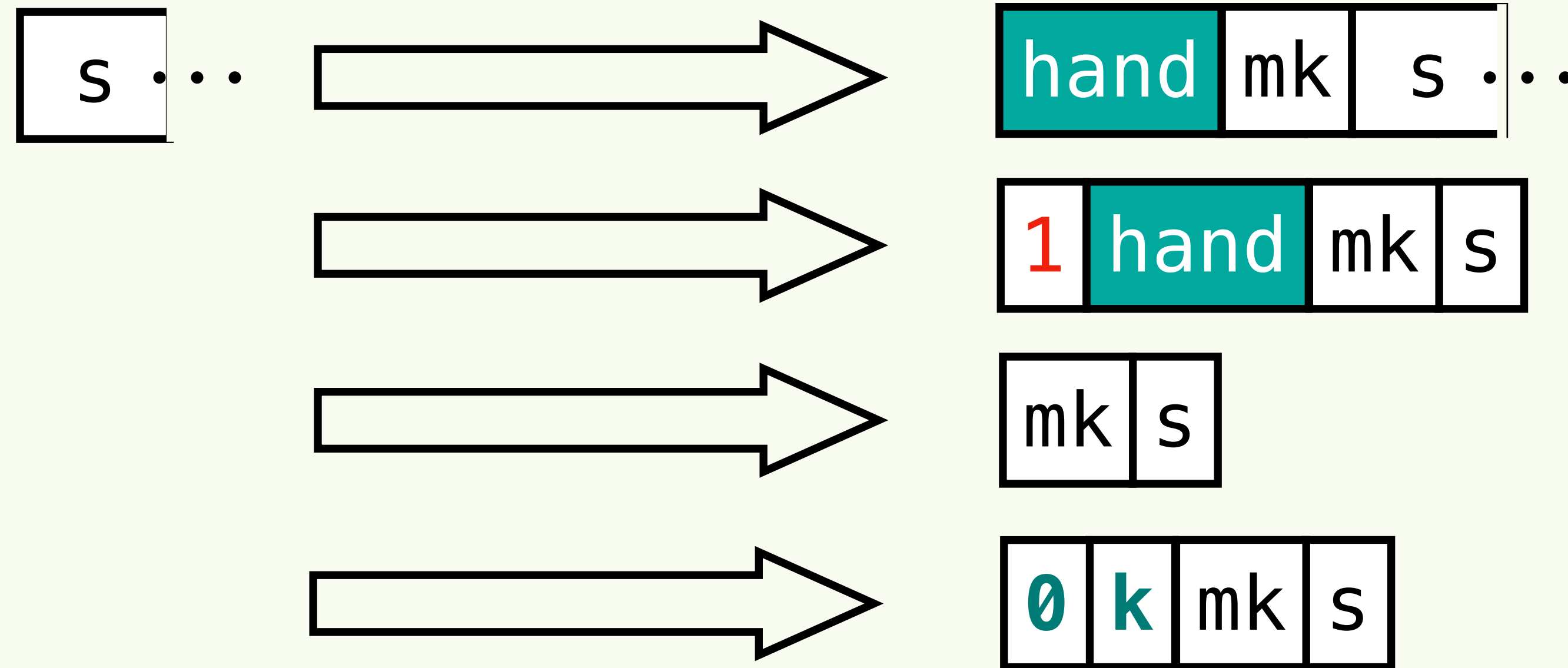
Effect Handlers in Target Language

Source term

handle $_ + _$
with $\{ \dots; \text{op } _ k \rightarrow k \ 0 \}$



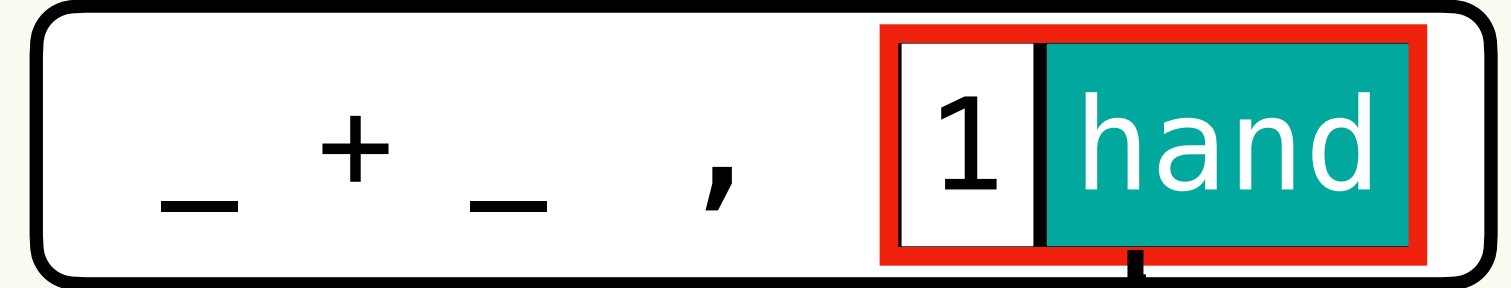
Target stack



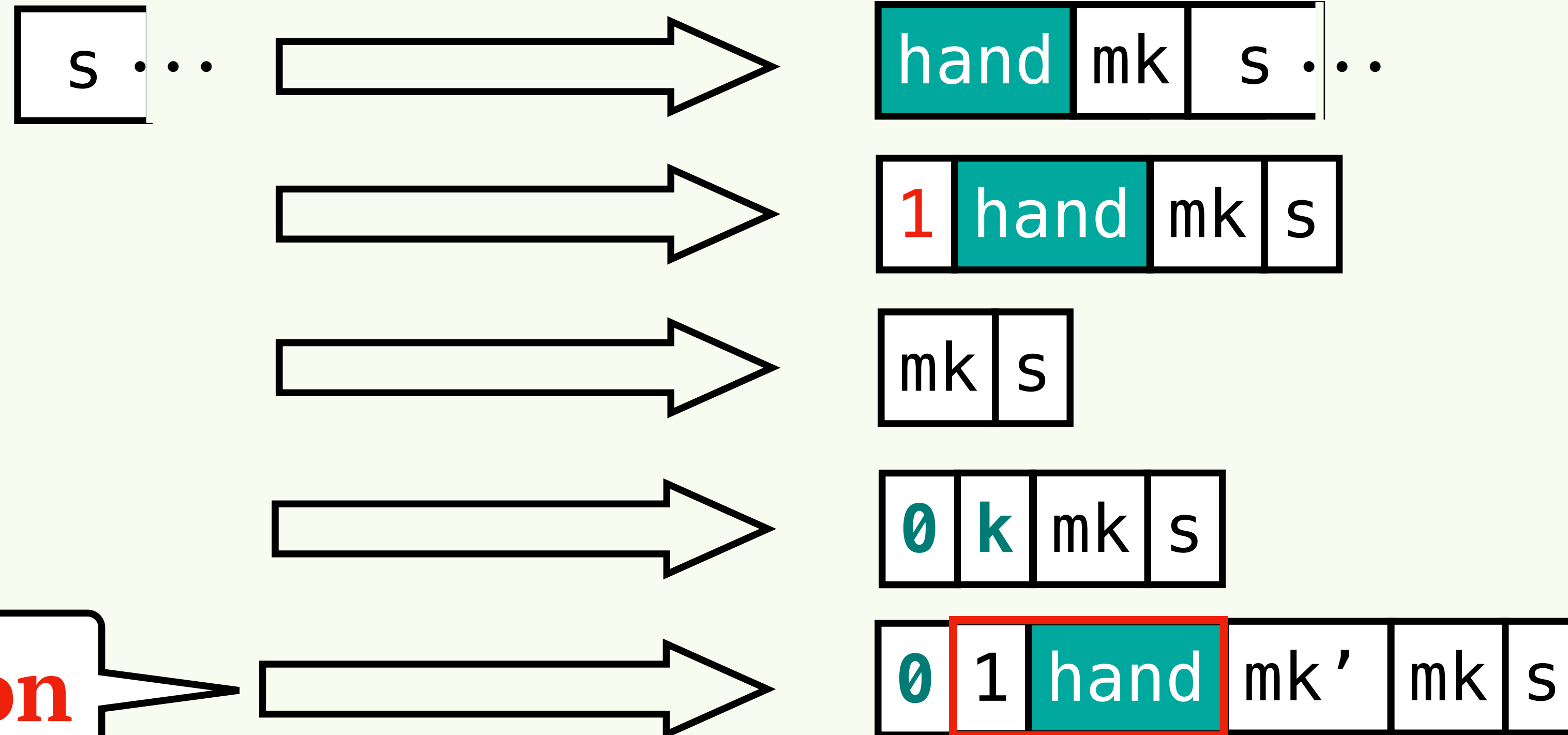
Effect Handlers in Target Language

Source term

handle $_ + _$
with $\{ \dots; \text{op } _ \text{ k } \rightarrow _ _ \}$



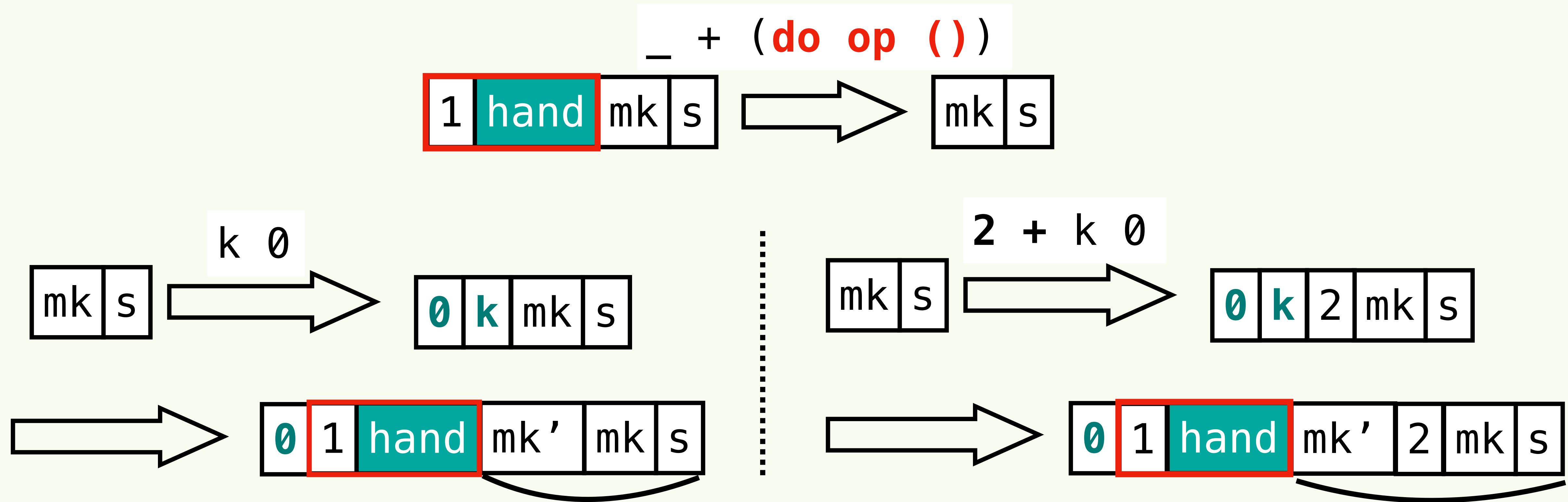
Target stack



Resumption

Motivation for Stack Polymorphism

Stack context when capturing continuation at calling `op`

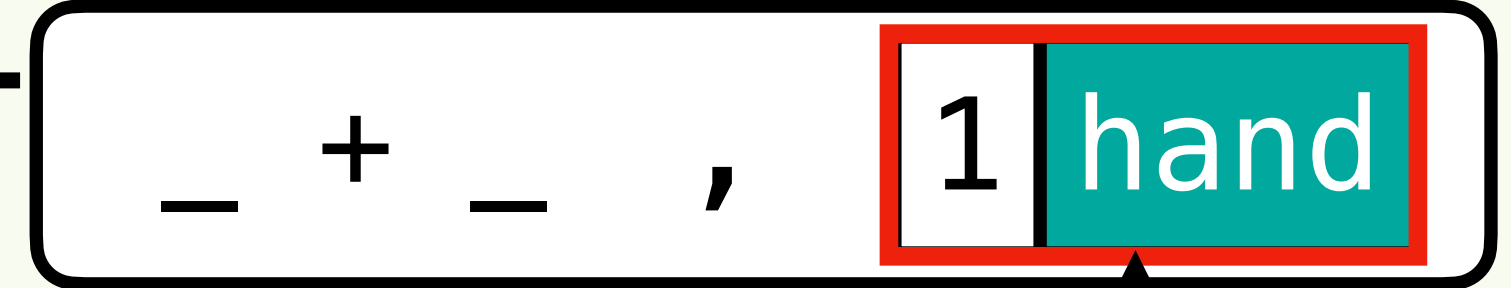


Stack context at resumption is **Statically Unknown**

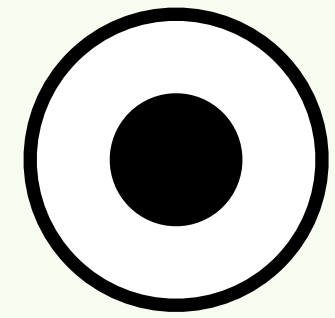
Effect Handlers in Target Language

Source term

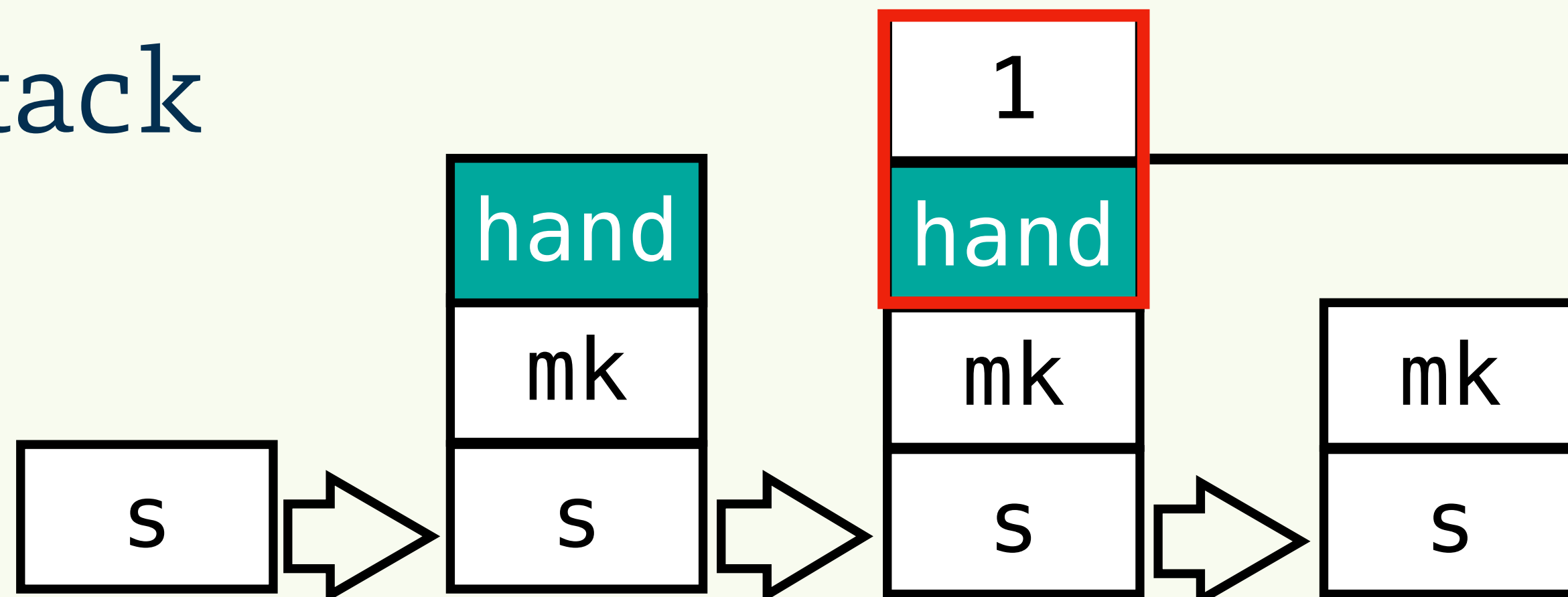
```
handle _ + do op ()  
with { ... ; op _ k -> k () }
```



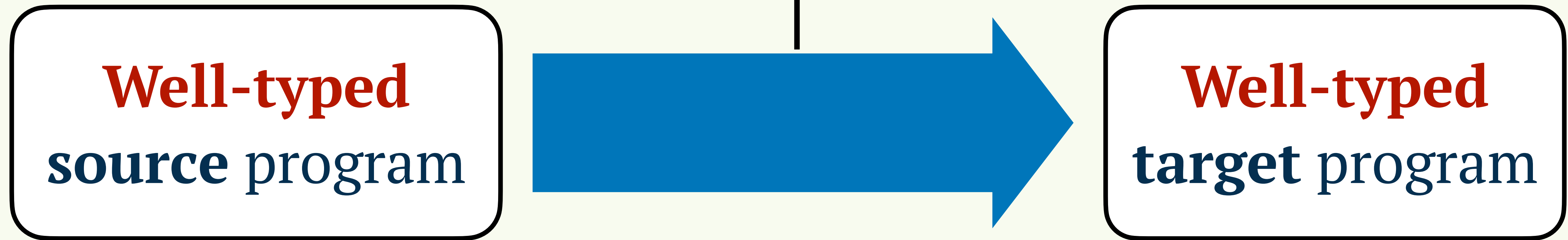
Capture Continuation



Target stack

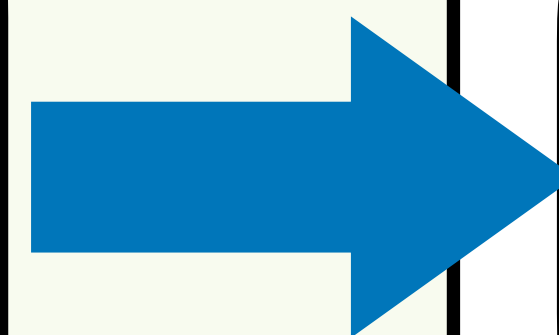


Background : Type-Preserving Compilation



Pickard+'21

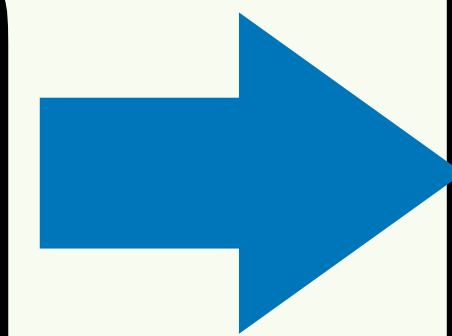
Language with
Exceptions



Language based on
stack machine

This work

Language with
Effect Handlers

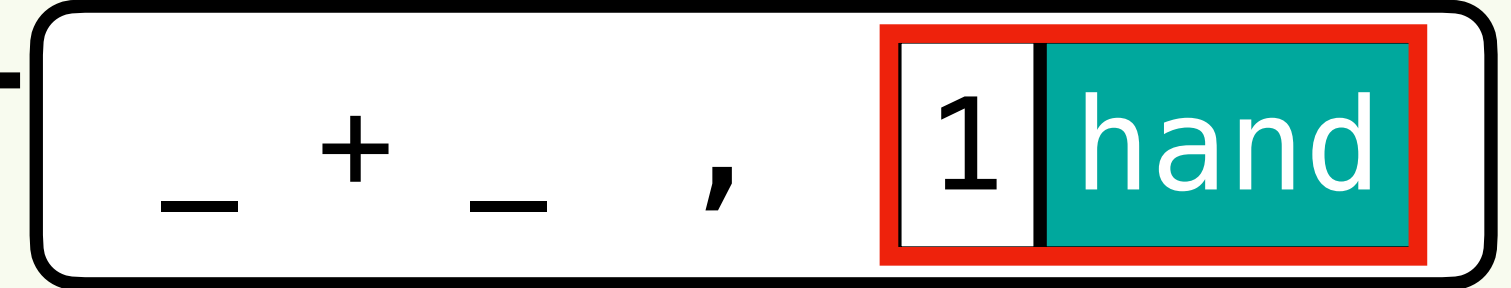


+
New instructions

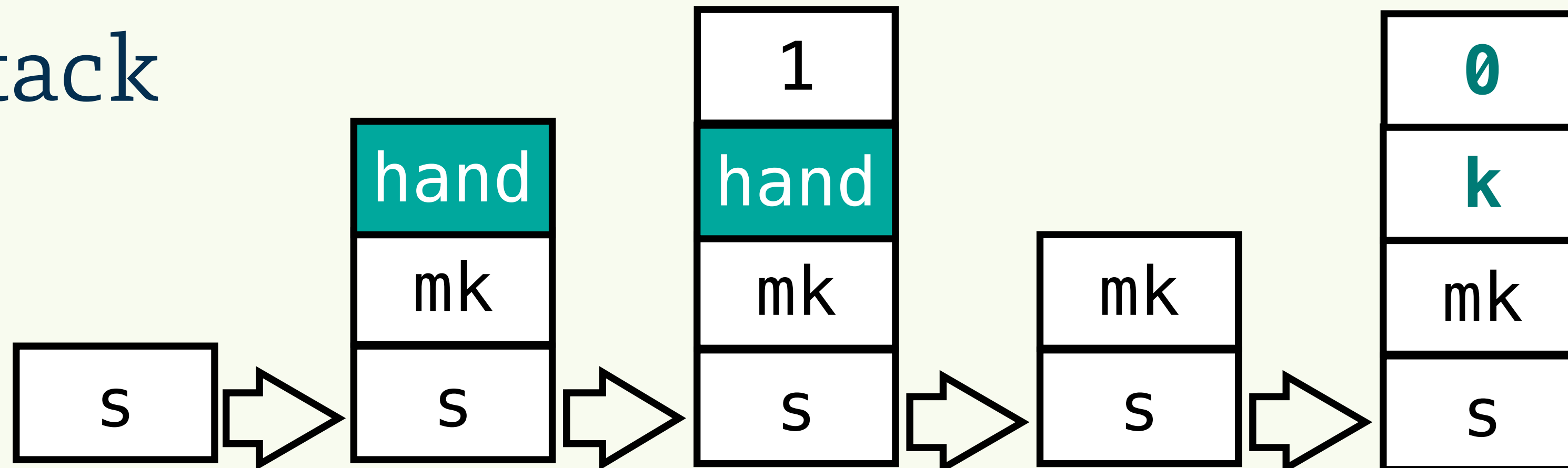
Effect Handlers in Target Language

Source term

```
handle _ + _  
with { ... ; op _ k -> k 0 }
```



Target stack



Effect Handlers in Target Language

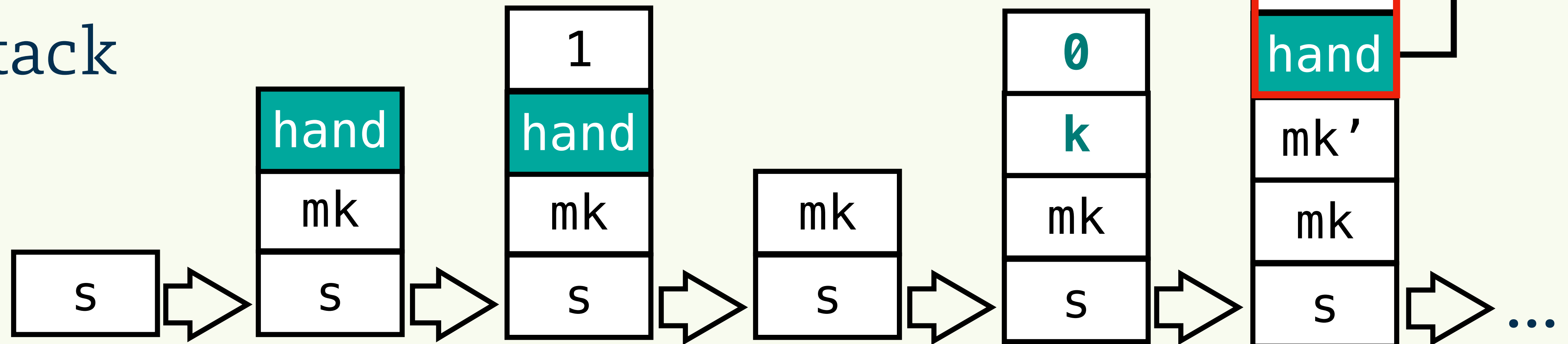
Source term

```
handle _ + _  
with { ... ; op _ k -> _ _ }
```



Resumption

Target stack



Source Language : A Language with Effect Handlers

```
handle
  let x = do get () in
  return x
with {
  return x -> return x;
  get p k -> k 0
}
```

Operations cause effects

Handlers determine
behavior of operations.

Handlers can use **continuaions**

Source Language : A Language with Effect Handlers

Execution example

```
handle  
→ let x = do get () in  
  return x  
with {  
  return x -> return x;  
  get p k -> k 0  
}
```

Captured Continuation

```
handle  
  let x = return ? in  
  return x  
with ...
```

Resuming handled computation with \emptyset

```
return x -> return (x + 1)
```

Source Language : A Language with Effect Handlers

Execution example

```
handle
  let x = return 0 in
  → return 0
  with {
    return x → return x;
    get p k → k 0
  }
```

⇒ 0

Source Language / Intrinsically typed AST

Data types only representing well-typed terms in L_s

```
data Val (Γ : Ctx) : VTy → Set
```

$\Gamma \vdash V : A$

```
data Cmp (Γ : Ctx) : CTy → Set
```

$\Gamma \vdash M : C$

```
data Cmp Γ where
```

```
  App : Val Γ (A ⇒ C) → Val Γ A → Cmp Γ C
```

```
  ...
```

$$\frac{\Gamma \vdash v : A \Rightarrow C \quad \Gamma \vdash w : A}{\Gamma \vdash v w : C} \text{ [T-APP]}$$

Target Language : Intrinsically typed AST

data Code (Γ : Ctx) : StackTy \rightarrow StackTy \rightarrow Set

$\Gamma \vdash M : (A, \varepsilon)$

```
data Code ( $\Gamma$  : Ctx) : StackTy  $\rightarrow$  StackTy  $\rightarrow$  Set

OperationCodes : VTy  $\rightarrow$  Eff  $\rightarrow$  Eff  $\rightarrow$  Ctx  $\rightarrow$  StackTy  $\rightarrow$  StackTy  $\rightarrow$  Set
HandlerCode : Ctx  $\rightarrow$  CTy  $\rightarrow$  CTy  $\rightarrow$  Set

PureCodeCont : Ctx  $\rightarrow$  StackTy  $\rightarrow$  CTy  $\rightarrow$  Set
PureCodeCont  $\Gamma$  S1 C =
   $\forall \{ \Gamma_1 S_2 S_3 \} \rightarrow$  Code  $\Gamma$  (S1 ++ HandTy  $\Gamma_1 S_2 S_3 C :: S_2$ ) S3

OperationCodes B E1 E2  $\Gamma$  SS S3 = All ( $\lambda \{ (op A' B') \rightarrow$  Code ( $(B' \Rightarrow (B, E_2)) :: A' :: \Gamma$ ) SS S3 }) E1

HandlerCode  $\Gamma$  (A, E1) (B, E2) =
  ( $\forall \{ \Gamma_1 \Gamma'_1 S_1 S_2 S_3 A' \} \rightarrow$ 
    -- return clause
    Code (A ::  $\Gamma$ ) (ContTy  $\Gamma'_1$  (ValTy B :: S1) (A', E2) :: (S1 ++ HandTy  $\Gamma_1 S_2 S_3 (A', E_2) :: S_2$ )) S3  $\times$ 
    -- operation clauses
    OperationCodes B E1 E2  $\Gamma$  (ContTy  $\Gamma'_1$  (ValTy B :: S1) (A', E2) :: (S1 ++ HandTy  $\Gamma_1 S_2 S_3 (A', E_2) :: S_2$ )) S3
  )
```

```
{-# TERMINATING #-}
exec : Code  $\Gamma$  S S'  $\rightarrow$  Stack S  $\rightarrow$  RuntimeEnv  $\Gamma$   $\rightarrow$  Stack S'
exec (PUSH v c) s = exec c $ (val (pval v)) :: s
exec (ABS c' c) s env = exec c (val (clos c' env) :: s) env
exec (LOOKUP x c) s env = exec c ((val $ lookup env x) :: s) env
exec (APP c) (val v :: val (clos c' env') :: s) env = exec c' (cont c env :: s) (v :: env')
exec (APP c) (v :: val (fc-resump (c', s', env2)) (h, envh)) :: s) env =
  exec c' (v :: (s' ++s (hand h envh :: cont c env :: s))) env2
exec (CALLOP l c) (val v :: s) env with split s
... | (s1, (hand h env'), s2) with h
... | (_, ops) = exec (lookup ops l) s2 (fc-resump (c, s1, env) (h, env') :: v :: env')
exec (BIND c k) (val v :: s) env = exec c (cont k env :: s) (v :: env)
exec RET (val v :: cont c env :: s) _ = exec c (val v :: s) env
exec (MARK h mk c) s env = exec c (hand h env :: cont mk env :: s) env
exec (UNMARK) (val x :: (hand h env') :: s) env with h
... | (ret, ops) = exec ret s (x :: env')
exec (UNMARK) (val x :: init-hand :: s) env = val x :: s
exec (INITHAND c) s env = exec c (init-hand :: s) env
```

```
data SValTy : Set
StackTy : Set

data SValTy where
  ValTy : VTy  $\rightarrow$  SValTy
  ContTy : Ctx  $\rightarrow$  StackTy  $\rightarrow$  CTy  $\rightarrow$  SValTy
  HandTy : Ctx  $\rightarrow$  StackTy  $\rightarrow$  StackTy  $\rightarrow$  CTy  $\rightarrow$  SValTy

StackTy = List SValTy

variable
  T : SValTy
  S S' S1 S2 S3 : StackTy

-- immediate values
data PVal : VTy  $\rightarrow$  Set where
  unit : PVal Unit

_++s_ : Stack S  $\rightarrow$  Stack S'  $\rightarrow$  Stack (S ++ S')
[] ++s s = s
(x :: xs) ++s s = x :: (xs ++s s)

infixr 20 _++s_

split : Stack (S1 ++ HandTy  $\Gamma_1$  S S' (A, E) :: S)  $\rightarrow$  Stack S1  $\times$  Stack S'
split {S1 = []} (H :: S) = ([], H, S)
split {S1 = _ :: _} (V :: S) with split S
```

```
data Code ( $\Gamma$  : Ctx) : StackTy  $\rightarrow$  StackTy  $\rightarrow$  Set
PUSH : PVal A  $\rightarrow$  Code  $\Gamma$  (ValTy A :: S) S'  $\rightarrow$  Code  $\Gamma$  S S'
ABS : Code  $\Gamma$  (ValTy A  $\Rightarrow$  (B, E1)) :: S) S'  $\rightarrow$  Code  $\Gamma$  S S'
LOOKUP : A  $\in$   $\Gamma$   $\rightarrow$  Code  $\Gamma$  (ValTy A :: S) S'  $\rightarrow$  Code  $\Gamma$  S S'
APP : PureCodeCont  $\Gamma$  (ValTy B :: S1) (A', E)  $\rightarrow$  Code  $\Gamma$  (ValTy A :: ValTy (A  $\Rightarrow$  (B, E)) :: S1 ++ HandTy  $\Gamma_1 S_2 S_3 (A', E) :: S_2$ ) S3
CALLOP : (op A B)  $\in$  E  $\rightarrow$  PureCodeCont  $\Gamma$  (ValTy B :: S1) (A', E)  $\rightarrow$  Code  $\Gamma$  (ValTy A :: S1 ++ HandTy  $\Gamma_1 S S' (A', E) :: S$ ) S'

data EnvVal : VTy  $\rightarrow$  Set
RuntimeEnv : Ctx  $\rightarrow$  Set

data StackVal : SValTy  $\rightarrow$  Set
Stack : StackTy  $\rightarrow$  Set
Stack S = All ( $\lambda T \rightarrow$  StackVal T) S

data EnvVal where
  pval : PVal A  $\rightarrow$  EnvVal A
  clos : ( $\forall \{ \Gamma_1 \Gamma'_1 S_1 S_2 S_3 A' \} \rightarrow$  Code (A ::  $\Gamma$ ) (ContTy  $\Gamma_1$  (ValTy B :: S1) (A', E) :: (S1 ++ HandTy  $\Gamma'_1 S_2 S_3 (A', E) :: S_2$ )) S3)  $\rightarrow$  RuntimeEnv  $\Gamma$   $\rightarrow$  EnvVal (A  $\Rightarrow$  (B, E))
  fc-resump : PureCodeCont  $\Gamma$  (ValTy A :: S) (A', E)  $\times$  Stack S  $\times$  RuntimeEnv  $\Gamma$   $\rightarrow$  -- continuation body and its stores
  HandlerCode  $\Gamma_1$  (A', E) (B, E')  $\times$  RuntimeEnv  $\Gamma_1$   $\rightarrow$  -- handler and its environment
  EnvVal (A  $\Rightarrow$  (B, E'))
```

Intrinsically Typed Compiler for Effect Handlers

Compiler for top-level program

```
compile : Cmp  $\Gamma$  (A , [])  $\rightarrow$  Code  $\Gamma$  S (A :: S)
```

CPS Compiler for effectful computation

```
compileC :  
  Cmp  $\Gamma$  (A , E)  $\rightarrow$   
  ( $\forall$  {S S'}  $\rightarrow$  Code ... )  $\rightarrow$   
  Code  $\Gamma$  (S1 ++ HandTy  $\Gamma$ 1 S S' (A' , E) :: S) S'
```

Continuation in target language

Intrinsically Typed Compiler for Effect Handlers

Compiler for top-level program

```
compile : Cmp  $\Gamma$  (A , [])  $\rightarrow$  Code  $\Gamma$  S (A :: S)
```

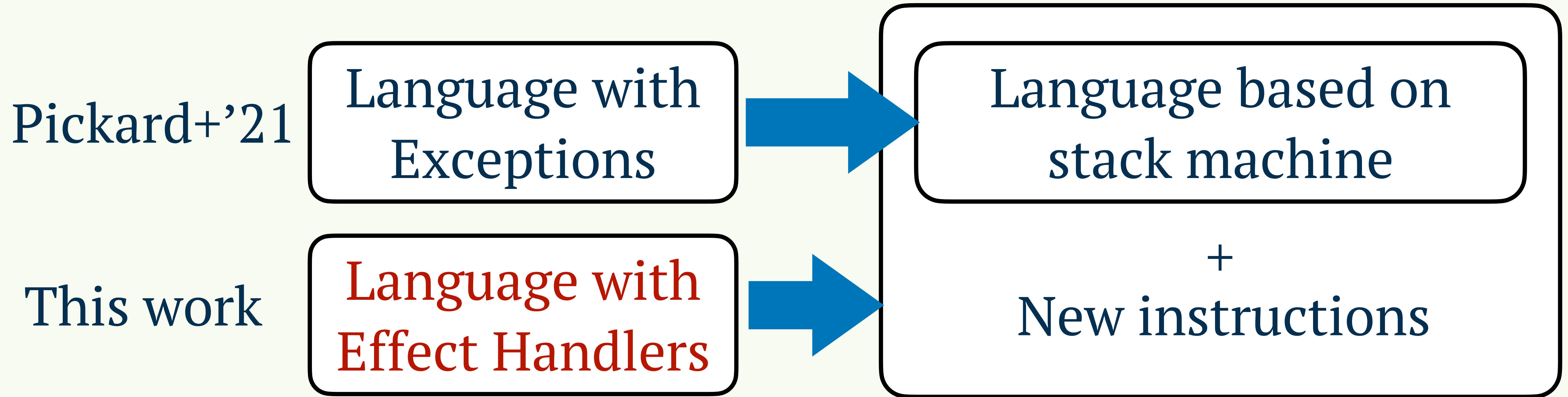
CPS Compiler for effectful computation

```
compileC :  
  Cmp  $\Gamma$  (A , E)  $\rightarrow$   
  (  $\forall$  {S S'}  $\rightarrow$  Code ... )  $\rightarrow$   
  Code  $\Gamma$  (S1 ++ HandTy  $\Gamma$ 1 S S' (A' , E) :: S) S'
```

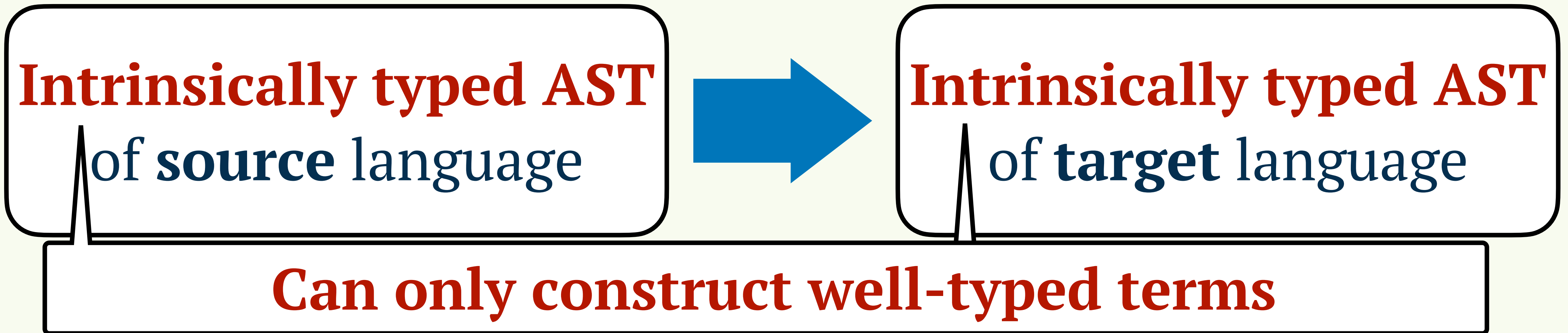
Continuation in target language

Type checked definition of function `compile`
= **Proof** of type preservation of compiler

Approach



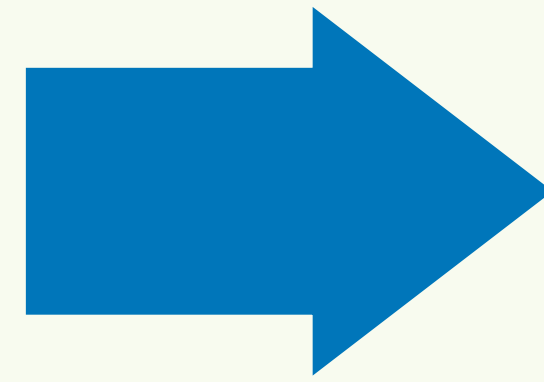
Background : Intrinsically Typed Compiler



Type checked definition = Proof of type preservation

Background : Intrinsically Typed AST

Intrinsically typed AST
of **source** language



Intrinsically typed AST
of **target** language

Simple AST

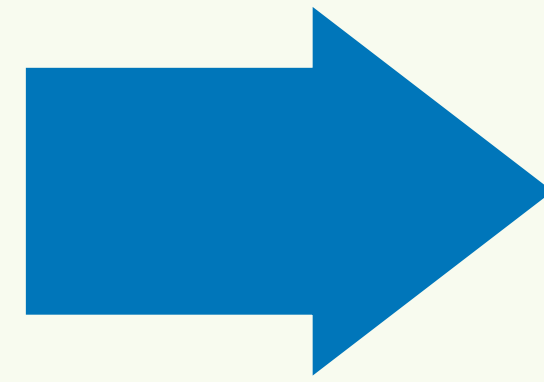
```
data Exp : Set where
  num  : ℕ → Exp
  bool : ℬ → Exp
  add  : Exp → Exp → Exp
```

Agda

```
add (num 1) (bool true) : Exp
```

Background : Intrinsically Typed AST

Intrinsically typed AST
of **source** language



Intrinsically typed AST
of **target** language

Intrinsically-typed AST

```
data Exp : Ty → Set where
  num   : ℕ → Exp Nat
  bool  : ℬ → Exp Bool
  add   : Exp Nat → Exp Nat → Exp Nat
```

Agda

```
add (num 1) (bool true) : Exp Nat
```

Goal

Develop type-preserving compilers for languages with **first-class continuations**

Previous work^[Morrisett+'99, Chlipala'07, Pickard+'21] considers

Functions

Conditionals & loops

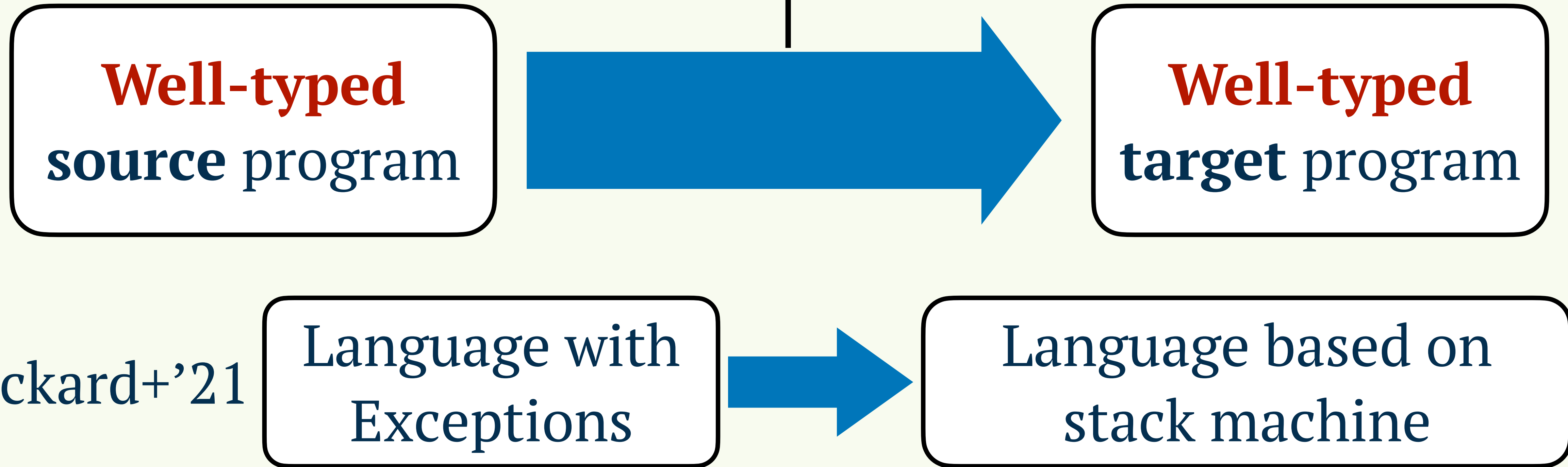
Exceptions

This work considers

Effect handlers

Making an intrinsically typed compiler for

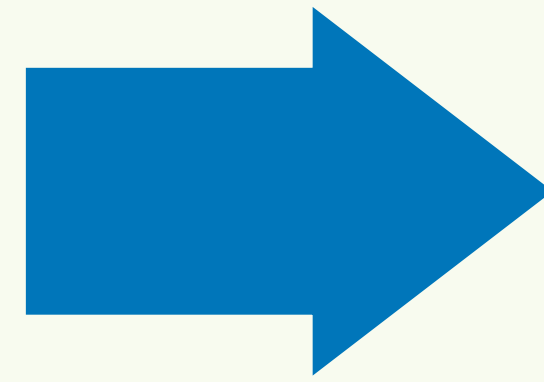
Background : Type-Preserving Compilation



Pickard+'21

Background : Intrinsically Typed AST

Intrinsically typed AST
of **source** language



Intrinsically typed AST
of **target** language

Simple AST

```
data Exp : Set where
  num  : ℕ → Exp
  bool : ℬ → Exp
  add  : Exp → Exp → Exp
```

Agda

```
add (num 1) (bool true) : Exp
```

Source Language : Intrinsically typed AST

Data types only representing well-typed terms

<code>data Val (Γ : Ctx) : VTy → Set</code>	→	$\Gamma \vdash V : A$
<code>data Cmp (Γ : Ctx) : CTy → Set</code>	→	$\Gamma \vdash M : C$
<code>data Hd1 (Γ : Ctx) : HTy → Set</code>	→	$\Gamma \vdash H : C \Rightarrow D$

```
data VTy : Set -- Value types
CTy : Set -- Computation types
data HTy : Set -- Handler types

data Sig : Set -- Effect signatures
Eff : Set -- Effects

data Sig where
  op : VTy → VTy → Sig

Eff = List Sig
```

```
data VTy where
  Unit : VTy
  _⇒_ : VTy → CTy → VTy
  Hand : HTy → VTy

CTy = VTy × Eff

data HTy where
  _⇒_ : CTy → CTy → HTy

Ctx = List VTy

variable
  A B A' B' : VTy
  E E' E1 E2 : Eff
  C D : CTy
  H : HTy
  Γ Γ' Γ1 Γ2 : Ctx
```

```
data Val (Γ : Ctx) : VTy → Set
data Cmp (Γ : Ctx) : CTy → Set
data Hd1 (Γ : Ctx) : HTy → Set
OperationClauses : Ctx → Eff → CTy → Set

data Val Γ where
  Unit : Val Γ Unit
  Var : A ∈ Γ → Val Γ A
  Lam : Cmp (A :: Γ) C → Val Γ (A ⇒ C)

data Cmp Γ where
  Return : Val Γ A → Cmp Γ (A , E)
  Do : (op A B) ∈ E → Val Γ A → Cmp Γ (B , E)
  Handle_With_ : Cmp Γ C → Hd1 Γ (C ⇒ D) → Cmp Γ D
  App : Val Γ (A ⇒ C) → Val Γ A → Cmp Γ C
  Let_In_ : Cmp Γ (A , E) → (Cmp (A :: Γ) (B , E))
    → Cmp Γ (B , E)
```

```
data Hd1 Γ where
  λx_|λx,r_ :
    Cmp (A :: Γ) C → -- return clause
    OperationClauses Γ E C → -- operation clauses
    Hd1 Γ ((A , E) ⇒ C)

OperationClauses Γ E1 D =
  All (λ { (op A' B') → Cmp ((B' ⇒ D) :: A' :: Γ) D }) E1
```


Incorporating Stack Polymorphism

Typing a term in target language (Monomorphic)

$\Gamma \vdash \text{code} : S \Rightarrow S'$ $\boxed{S} \longrightarrow \boxed{S'}$

Target Language : Intrinsically typed AST

data Code (Γ : Ctx) : StackTy \rightarrow StackTy \rightarrow Set

$\Gamma \vdash \text{code} : S \Rightarrow S'$

```
data Code  $\Gamma$  where
  PUSH : PVal A  $\rightarrow$  Code  $\Gamma$  (ValTy A :: S) S'  $\rightarrow$  Code  $\Gamma$  S S'
  ABS :
    -- function body
    ( $\forall \{S_1 S_2 S_3 \Gamma_1 \Gamma'_1 A'\} \rightarrow$  Code (A ::  $\Gamma$ ) (ContTy  $\Gamma_1$  (ValTy B :: S1) (A', E1) :: (S1 ++ HandTy  $\Gamma'_1$  S2 S3 (A', E1) :: S2)) S3)  $\rightarrow$ 
    Code  $\Gamma$  (ValTy (A  $\Rightarrow$  (B, E1)) :: S) S'  $\rightarrow$  Code  $\Gamma$  S S'
  LOOKUP : A  $\in$   $\Gamma$   $\rightarrow$  Code  $\Gamma$  (ValTy A :: S) S'  $\rightarrow$  Code  $\Gamma$  S S'
  APP : PureCodeCont  $\Gamma$  (ValTy B :: S1) (A', E)  $\rightarrow$ 
    Code  $\Gamma$  (ValTy A :: ValTy (A  $\Rightarrow$  (B, E)) :: S1 ++ HandTy  $\Gamma_1$  S2 S3 (A', E) :: S2) S3
  CALLOP : (op A B)  $\in$  E
     $\rightarrow$  PureCodeCont  $\Gamma$  (ValTy B :: S1) (A', E)
     $\rightarrow$  Code  $\Gamma$  (ValTy A :: S1 ++ HandTy  $\Gamma_1$  S S' (A', E) :: S) S'
  BIND : Code (A ::  $\Gamma$ ) (ContTy  $\Gamma$  (ValTy B :: S) C :: (S ++ HandTy  $\Gamma_2$  S2 S3 C :: S2)) S3 -- let body
     $\rightarrow$  PureCodeCont  $\Gamma$  (ValTy B :: S) C  $\rightarrow$  Code  $\Gamma$  (ValTy A :: (S ++ HandTy  $\Gamma_2$  S2 S3 C :: S2)) S3
  RET : Code  $\Gamma$  (ValTy A :: ContTy  $\Gamma_1$  (ValTy A :: S) C :: (S ++ HandTy  $\Gamma_2$  S2 S3 C :: S2)) S3
  MARK :
    HandlerCode  $\Gamma$  (A, E1) (B, E2)  $\rightarrow$  -- handler
    PureCodeCont  $\Gamma$  (ValTy B :: S1) (B', E2)  $\rightarrow$  -- meta-continuation
    -- handled computation
    Code  $\Gamma$  (
      HandTy  $\Gamma$  (ContTy  $\Gamma$  (ValTy B :: S1) (B', E2) :: S1 ++ HandTy  $\Gamma_1$  S2 S3 (B', E2) :: S2) S3 (A, E1) ::
      ContTy  $\Gamma$  (ValTy B :: S1) (B', E2) :: S1 ++ HandTy  $\Gamma_1$  S2 S3 (B', E2) :: S2
    ) S3  $\rightarrow$ 
    Code  $\Gamma$  (S1 ++ HandTy  $\Gamma_1$  S2 S3 (B', E2) :: S2) S3
  UNMARK : Code  $\Gamma$  (ValTy A :: HandTy  $\Gamma_1$  S S' (A, E1) :: S) S'
  INITHAND : Code  $\Gamma$  (HandTy  $\Gamma$  S (ValTy A :: S) (A, []) :: S) (ValTy A :: S)  $\rightarrow$  Code  $\Gamma$  S (ValTy A :: S)
```

```
{-# TERMINATING #-}
exec : Code  $\Gamma$  S S'  $\rightarrow$  Stack S  $\rightarrow$  RuntimeEnv  $\Gamma$   $\rightarrow$  Stack S'
exec (PUSH v c) s = exec c $ (val (pval v)) :: s
exec (ABS c' c) s env = exec c (val (clos c' env) :: s) env
exec (LOOKUP x c) s env = exec c ((val $ lookup env x) :: s) env
exec (APP c) (val v :: val (clos c' env') :: s) env = exec c' (cont c env :: s) (v :: env')
exec (APP c) (v :: val (fc-resump (c', s', env2)) (h, envh)) :: s) env =
  exec c' (v :: (s' ++s (hand h envh :: cont c env :: s))) env2
exec (CALLOP l c) (val v :: s) env with split s
... | (s1, (hand h env'), s2) with h
... | (_, ops) = exec (lookup ops l) s2 (fc-resump (c, s1, env) (h, env') :: v :: env')
exec (BIND c k) (val v :: s) env = exec c (cont k env :: s) (v :: env)
exec RET (val v :: cont c env :: s) _ = exec c (val v :: s) env
exec (MARK h mk c) s env = exec c (hand h env :: cont mk env :: s) env
exec (UNMARK) (val x :: (hand h env') :: s) env with h
... | (ret, ops) = exec ret s (x :: env')
exec (UNMARK) (val x :: init-hand :: s) env = val x :: s
exec (INITHAND c) s env = exec c (init-hand :: s) env
```